

Chapter 9 Primitive Roots





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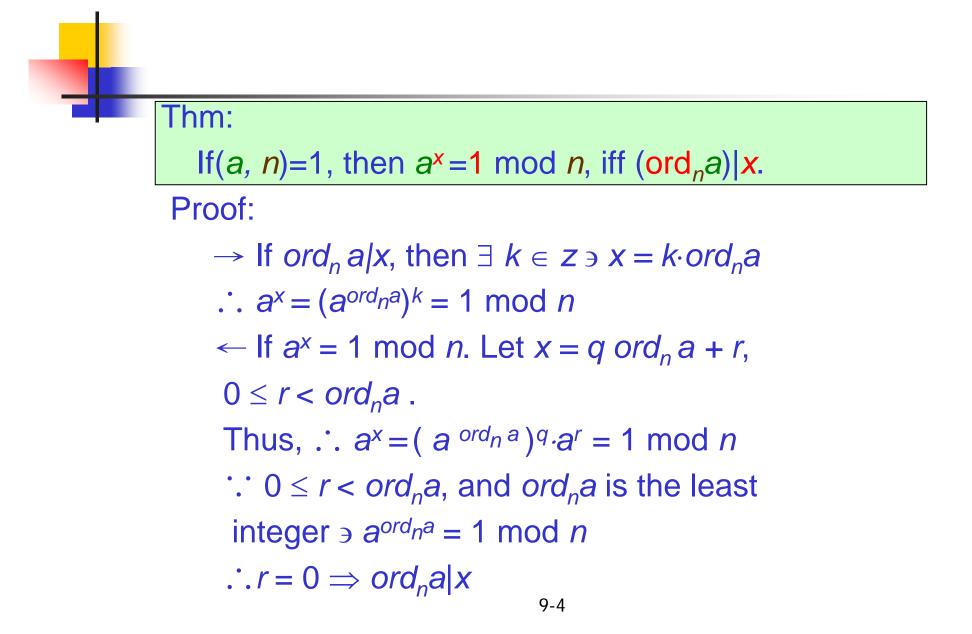
9.1 The order of an integer and primitive root

If (a, m) = 1, then ∃ $\phi(m) \ni a^{\phi(m)} = 1 \mod m$, $\phi(m) \in Z^+$. Thus by the well-order property, ∃ a least positive integer $x \ni a^x = 1 \mod m$.

Def:

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Let (a, m) = 1,
the least positive integer x \ni a^x = 1 \mod m
is called the order of a modulo m,
denoted by \operatorname{ord}_m a.
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Corollary:

If (a, m) = 1, then $\operatorname{ord}_m a | \phi(m)$

Proof:

Following by Euler Theorem and above Theorem directly.

Reduced areside set $\phi(m)$ d_1 d_2 d_3 d_4 $d_i | \phi(m)$

m = 11 $\phi(m) = 10$ $1|\phi(m), 2|\phi(m)$ $5|\phi(m), 10|\phi(m)$



Thm:

If (a, n) = 1, then $a^i = a^j \mod n$ iff $i = j \mod (\operatorname{ord}_n a)$ Proof:

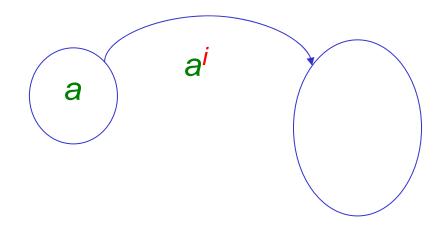
(→) If $i = j \mod (\operatorname{ord}_n a)$, then $a^i = a^{j+k \cdot \operatorname{ord}_n a} = a^j \mod n$ (←) If $a^i = a^j \mod n$. $\therefore a^i = a^j \cdot a^{i-j} \mod n \Rightarrow a^j \cdot a^{i-j} = a^j \mod n$ $\therefore (a, n) = 1 \Rightarrow (a^j, n) = 1$. Thus, by Cancellation of a^j , we have $a^{i-j} = 1 \mod n$ $\Rightarrow \operatorname{ord}_n a | (i - j)$, thus, $i = j \mod (\operatorname{ord}_n a)$



Primitive roots

Def:

If (r, n) = 1 and if $\operatorname{ord}_n r = \phi(n)$, then *r* is called a primitive root modulo *n*.



Reduced reside set

(m)



Question:

- 1. For any given *n*, does a primitive root modulo *n* exist?
- 2. If it exists, how to find one?
- 3. How to find all the primitive roots?



Thm:

If (r, n) = 1 and *r* is a primitive root modulo *n*, then the set of integers $S = \{r^1, r^2, ..., r^{\phi(n)}\}$ form a reduced residue set modulo *n*.

Proof:

We must show that

(1) $(r^{i}, n) = 1, \forall 1 \le i \le \phi(n)$

(2) $r^i \neq r^j \mod n \forall i \neq j \text{ and } 1 \leq i \leq \phi(n), 1 \leq j \leq \phi(n)$



(1) \therefore (r, n) = 1, \therefore $(r^{i}, n) = 1$ for any $i \in Z^{+}$ (2) Assume that $r^{i} = r^{j} \mod n$, then $i = j \mod \phi(n)$, however, for $1 \le i \le \phi(n)$ and $1 \le j \le \phi(n)$, it implied that i = j, \therefore S is a reduced residue set modulo n.

Thm: If $\operatorname{ord}_m a = t$ and if $u \in Z^+$, then $\operatorname{ord}_m(a^u) = \frac{t}{(t, u)}$

Proof:

Let $s = \operatorname{ord}_{m}(a^{u}), v = (t, u), t = t_{1}v \text{ and } u = u_{1}v$ then $(t_{1}, u_{1}) = 1$. (1) $\therefore (a^{u})^{t_{1}} = (a^{u_{1}v})^{t/v} = (a^{t})^{u_{1}} = (1)^{u_{1}} = 1 \mod m$ $\therefore s = \operatorname{ord}_{m}(a^{u})|t_{1}$ (2) $\therefore (a^{u})^{s} = a^{us} = 1 \mod m, \therefore t = \operatorname{ord}_{m}a|us$ $\Rightarrow t_{1}v|u_{1}vs \Rightarrow t_{1}|u_{1}s$ But $(t_{1}, u_{1}) = 1 \Rightarrow t_{1}|s$ $\therefore s = \operatorname{ord}_{m}(a^{u}) = t_{1} = \frac{t}{v} = \frac{t}{(t, u)}$



Corollary:

Let *r* be a primitive root modulo *m*. Then r^u is a primitive root modulo *m* iff $(u, \phi(m)) = 1$.

Proof:

$$r : \operatorname{ord}_{m} r^{u} = \frac{\operatorname{ord}_{m} r}{(u, \operatorname{ord}_{m} r)} = \frac{\operatorname{ord}_{m} r}{(u, \phi(m))} = \phi(m)$$

 \therefore r^u is a primitive root modulo m iff $(u, \phi(m)) = 1$.



Thm:

If $m \in Z^+$ has a primitive root, then it has a total of $\phi(\phi(m))$ incongruent roots.

Proof:

Let *r* be a primitive root modulo *m*, then $r^1, r^2, ..., r^{\phi(m)}$ form a reduced residue system modulo *m*.

However, r^u is a primitive root iff $(u, \phi(m)) = 1$. Since there are exactly $\phi(\phi(m))$ such u, there are exactly $\phi(\phi(m))$ primitive roots modulo m.



- Thus, if we can find a primitive root *r* modulo *m*, then we can generate all the primitive root modulo *m* by calculating $r^u \mod m$, where $(u, \phi(m)) = 1$.
- If p = 2q + 1, where p, q are primes. $\Rightarrow \phi(\phi(p)) = \phi(2q) = q - 1$ \Rightarrow rates of primitive root: $\frac{q-1}{2q+1} \approx \frac{1}{2}$, if q >> 1.



9.2 Primitive roots for primes

Every prime has a primitive roots.

Def:

Let f(x) be a polynomial with integer coefficients. An integer c is said to be a root of f(x) modulo m if $f(c) = 0 \mod m$.

Remark:

- 1. If c is a root of $f(x) \mod m$, then u is also a root if $u = c \mod m$.
- 2. $h(x) = x^{p-1}-1$ has exactly p-1 incongruent roots modulo p, where p is prime,

(i.e., $x = 1, 2..., p - 1 \pmod{p}$)



Thm: Lagrange's Theorem

Let $f(x) = a_n x^n + a_{n-1} x^{n-1} + ... + a_1 x + a_0$ be a polynomial of degree $n, n \ge 1$, with $a_i \in Z$ and $p \nmid a_n$, then f(x) has at most n incongruent roots modulo p.

Proof: By mathematical induction.

When n = 1, then $x = -\frac{a_0}{a_1}$ is the only root modulo p of f(x). Thus it is true for n = 1.

Suppose it is true for polynomials of degree *n*-1. Let f(x) be such a polynomial of degree *n*. Assume f(x) has *n*+1 incongruent roots modulo *p*, say $C_0, C_1, \dots, C_n \ni f(C_k) = 0 \mod p$ for $k = 0, 1, \dots, n$.



We have
$$f(x) - f(c_0) = a_n(x^n - c_0^n) + ... + a_1(x - c_0)$$

= $(x - c_0)g(x)$

Where g(x) is a polynomial of degree n-1.

 $\therefore f(c_k) - f(c_0) = (c_k - c_0)g(c_k) = 0 \mod p \text{ and } c_k \neq c_0 \mod p$ $\Rightarrow g(c_k) = 0.$

 $\therefore c_k$ is a root of $g(x) \mod p$.

 $\therefore g(x)$ has *n* incongruence roots modulo *p*.

This contradicts the induction hypothesis.

Hence f(x) must have no more than *n* incongruent roots modulo *p*.



Thm:

Let *p* be prime and $d \mid p-1$. Then the polynomial $x^d - 1$ has exactly *d* incongruent roots modulo *p*.

Proof:

Let p - 1 = de, then $x^{p-1} - 1 = (x^d - 1)(x^{d(e-1)} + x^{d(e-2)} + ... + x^d + 1) = (x^d - 1)g(x)$ $\therefore x^{p-1} - 1$ has p - 1 incongruent roots modulo p and any root of $x^p - 1$ modulo p is either a root of $x^d - 1$ mod p or a root of g(x) modulo p.



But g(x) has at most d(e-1) = de - d = p - d - 1 roots modulo p.

- ... the polynomial x^d -1 has at least (p-1) (p d 1) = dincongruent roots. On the other hand, x^d -1 has at most *d* incongruent roots modulo *p*.
- $\therefore x^d$ -1 has exactly *d* incongruent roots modulo *p*.



Thm 9.8:

Let *p* be a prime and let $d \in Z^+$ and $d \mid p-1$. Then the number of incongruent integers of order *d* modulo *p* is equal to $\phi(d)$.

Proof:

Let F(d) denote the number of positive integers of order *d* modulo *p* that are less than *p*,

then $p-1 = \sum_{d|p-1} F(d)$ However, $p-1 = \sum_{d|p-1} \phi(d) \Rightarrow \sum_{d|p-1} \phi(d) = \sum_{d|p-1} F(d).$



If we can prove that $F(d) \le \phi(d)$.then we have $F(d) = \phi(d)$. Let $d \mid (p-1)$. If F(d) = 0, then $F(d) \le \phi(d)$. Otherwise, $\exists a \ni \operatorname{ord}_p a = d$ satisfying a^1, a^2, \ldots, a^d are incongruent modulo p. And $(a^k)^d \mod p = 1 \ \forall k \in Z^+$.

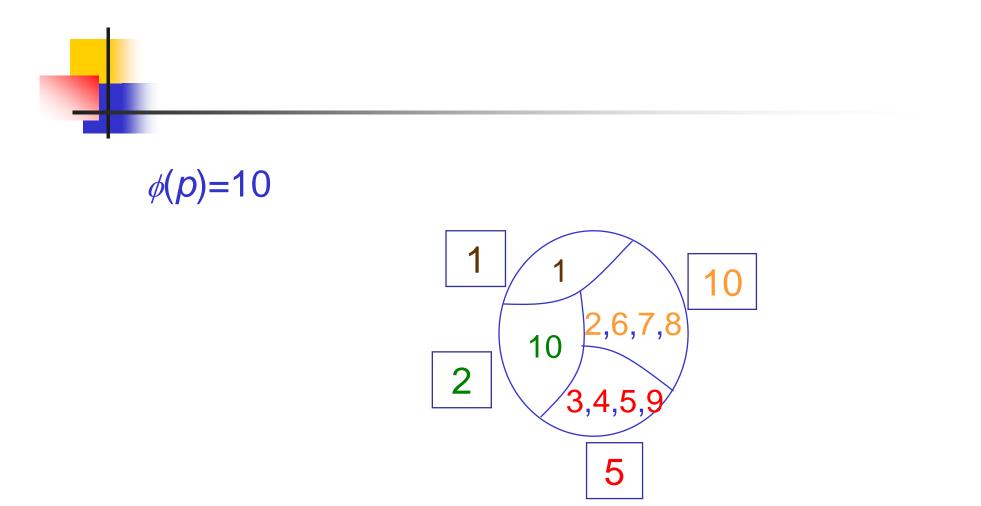
∴ x^d -1 mod p has exactly d incongruent roots modulo p, so every root modulo p is congruent to one of a^i , $1 \le i \le d$.

But the power of a with order *d* are those of the form a^k with $(k, d) = 1 \Rightarrow F(d) \le \phi(d)$



Ex					
1	$_et p =$	11,			
$1^{1} = 1 \mod p$, $2^{10} = 1$, $3^{5} = 1$, $4^{5} = 1$, $5^{5} = 1$					
$6^{10} = 1, 7^{10} = 1, 8^{10} = 1, 9^5 = 1, 10^2 = 1$					
	d	order <i>d</i> modulo <i>p</i>	<i>ф</i> (<i>d</i>)		
	10	2, 6, 7, 8	4		
	5	3, 4, 5, 9	4		
	2	10	1		
	1	1	1		
				-	







Corollary :

Every prime of has a primitive root.

Proof:

Let *p* be prime. From above theorem, there are $\phi(p-1)$ incongruent integers of order *p*-1 mod *p*.

 \therefore *p* has $\phi(p - 1)$ primitive roots.



Let r be a primitive root modulo n and the factors of $\phi(n)$ be d_1, d_2, \ldots, d_k . Finding all primitive roots modulo *n*.

Sol: Find all integers s such that $(s, \phi(n)) = 1$. Then all *r*^s mod *n* are also primitive roots modulo *n*.

• $r^{\frac{\phi(n)}{d_1}} \mod n$ is an element whose order is d_1 .



9.3 The existence of Primitive Roots

Object:

To find all positive integers having primitive roots.

Thm:

If *p* is an odd prime with primitive root *r*, then either *r* or r + p is a primitive root modulo p^2 .

Proof:

Since *r* is a primitive root modulo $p \Rightarrow \operatorname{ord}_p r = \phi(p) = p-1$ Let $n = \operatorname{ord}_{p^2} r$, then $r^n = 1 \mod p^2 \Rightarrow r^n = 1 \mod p$. $\therefore p - 1 | n \text{ and } n | \phi(p^2) = p(p - 1)$ $\Rightarrow n = p - 1 \text{ or } n = p(p - 1)$ (1)



(1) If n = p(p-1), then r is a primitive root modulo p^2 . (2) If $n = p - 1 \implies r^{p-1} = 1 \mod p^2$. Let s = r + p. (Note s is also a primitive root mod p) Then $s^{p-1} = (r+p)^{p-1}$ $= r^{p-1} + (p-1)r^{p-2}p + {p-1 \choose 2}r^{p-3}p^2 + \dots + p^{p-1}$ $= r^{p-1} + (p-1)r^{p-2}p \mod p^2$ $= 1 + (p-1)pr^{p-2} \mod p^2$ $\therefore pr^{p-2} \neq 0 \mod p^2 \Rightarrow s^{p-1} \neq 1 \mod p^2 \Rightarrow \operatorname{ord}_p^2 s \neq p-1$:. $\operatorname{ord}_{p}^{2}s = p(p-1) = \phi(p^{2})$ \Rightarrow s = r + p is a primitive root mod p².



Ex: The prime p = 7 has r = 3 as a primitive root. From (1) \Rightarrow either $\operatorname{ord}_{49}3 = 6$ or $\operatorname{ord}_{49}3 = 42$. $\therefore 3^6 \neq 1 \mod 49 \Rightarrow \operatorname{ord}_{49}3 = 42 (= 7 \times 6)$,

 \Rightarrow 3 is a primitive root mod 49.

Note:

- 1. It is very seldom that a primitive root r modulo p is not also a primitive root modulo p^2 .
- If *r* is a primitive root modulo *p*², and *r* < *p*, then *r* is also a primitive root modulo *p*.



Thm:

Let *p* be an odd prime. Then p^k has a primitive root for all $k \in Z^+$. Moreover, if *r* is a primitive root modulo p^2 , then *r* is a primitive root modulo p^k , for all positive integers *k*.

Ex:

3 is a primitive root modulo 7 and 7^2 .

∴3 is also a primitive root modulo 7^k , $\forall k \in Z^+$.



Proof: Strategy: $\phi(p^k) = p^{k-1}(p-1)$ 1. If *r* is a primitive root modulo p^2 , i.e. $r^{p-1} \neq 1 \mod p^2$. Show that $r^{p^{k-2}(p-1)} \neq 1 \mod p^k$ (1) (By mathematical induction) 2. Using mathematical induction, show that

$$\operatorname{ord}_{p^{k}} r = p^{k-1}(p-1) = \phi(p^{k})$$

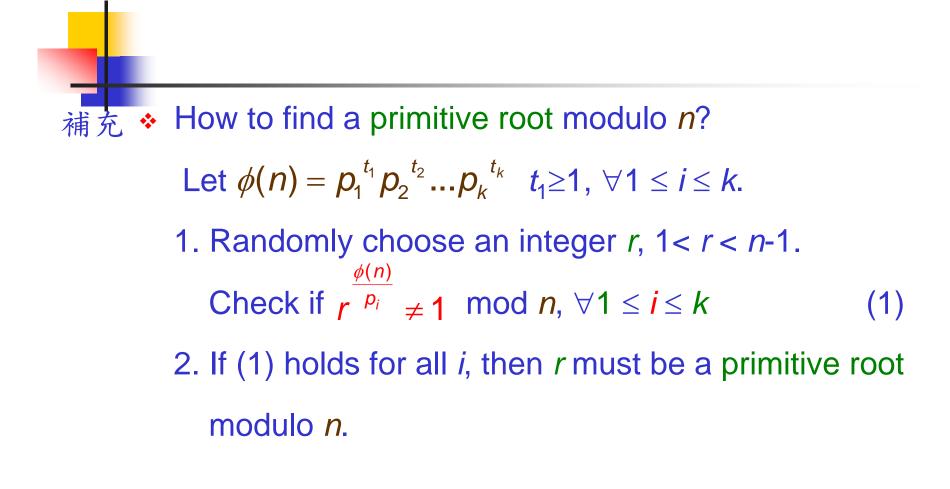


(1)The case of k = 2 is true, since r is a primitive root modulo p^2 . Assume that it is true for $k \ge 2$. Then $r^{p^{k-2}(p-1)} \neq 1 \mod p^k$. $(r, p) = 1 \implies (r, p^{k-1}) = 1$. (r, p) = 1. (r, p) = 1. (r, p) = 1. (r, p) = 1we have $r^{p^{k-2}(p-1)} = r^{\phi(p^{k-1})} = 1 \mod p^{k-1}$ =1+ dp^{k-1} , where $p \neq d$. $\cdot \cdot (r^{p^{k-2}(p-1)})^{p} = r^{p^{k-1}(p-1)} = (1 + dp^{k-1})^{p} = 1 + p(dp^{k-1}) + p(dp^{k-1}$ + $\binom{p}{2}(dp^{k-1})^2$ + ... + $(dp^{k-1})^p$ = 1 + $dp^k \mod p^{k+1}$ $\therefore p \mid d, \therefore r^{p^{k-1}(p-1)} \neq 1 \mod p^{k+1}$



(2)Let $n = \operatorname{ord}_{p^k} r$, then $n \mid \phi(p^k) = p^{k-1}(p-1)$. However, since $r^n = 1 \mod p^k \Rightarrow r^n = 1 \mod p \Rightarrow p-1 \mid n$. $\therefore n = p^t(p-1)$, where $t \in z \Rightarrow 0 \le t \le k-1$. If $0 \le t \le k-2$, then $r^{p^{k-2}(p-1)} = (r^{p^t(p-1)})^{p^{k-2-t}} = 1$ $\mod p^k \Rightarrow r^{p^{k-2}(p-1)} = 1 \mod p^k$, it would contradict (1) $\therefore n = \operatorname{ord}_{p^k} r = p^{k-1}(p-1) = \phi(p^k)$ $\Rightarrow r$ is also a primitive root modulo p^k





Ex. n=37, $\phi(n)=2^2\times 3^2$, d=1,2,3,4,6,9,12,18.36



補充 n=37,
$$\phi(n)=2^2 \times 3^2$$
, d=1,2,3,4,6,9,12,18.36
 $\frac{\phi(n)}{2}=18$ $\frac{\phi(n)}{3}=12$ $\frac{\phi(\phi(n))}{\phi(n)}=\frac{\phi(2p)}{2p}=\frac{p-1}{2p}\approx\frac{1}{2}$
? If $n=2p+1$, $a(\text{mod } n_1)=a(\text{mod } n_2)$

If $n_2 \mid n_1$ and $(a \mod n_1) \le a(1100)$ If $n_2 \mid n_1$ and $(a \mod n_1) < n_2$. $A = kn_1 + b$, 7 mod 4 \neq 7 mod 2



Thm: If a is an odd integer and if $k \in \mathbb{Z}^+$, $k \ge 3$, then $a^{\frac{\phi(2^k)}{2}} = a^{2^{k-2}} \equiv 1 \mod 2^k$

Proof:

By using mathematical induction. If *a* is an odd integer, then a = 2b + 1, $b \in Z^+ \cup \{0\}$ $\therefore a^2 = (2b + 1)^2 = 4b^2 + 4b + 1 = 4b(b + 1) + 1$ Since either *b* or *b*+1 is even $\Rightarrow 8 \mid 4b(b + 1)$ $\Rightarrow a^2 = 1 \mod 8$ \therefore It is true when k = 3. Assume that $a^{2^{k-2}} = 1 \mod 2^k$, then $_{9-35}$



$$\exists d \in z^{+} \ni a^{2^{k-2}} = 1 + d \cdot 2^{k}$$

$$\therefore a^{2^{k-1}} = (a^{2^{k-2}})^{2} = 1 + d \cdot 2^{k+1} + d^{2} \cdot 2^{2k}$$

$$\Rightarrow a^{2^{k-1}} = 1 \mod 2^{k+1}$$

Remark:

1.From this theorem we know that no power of 2, other than 2 and 4, has a primitive root.

2. The largest possible order modulo 2^k , $k \ge 3$, is

$$\frac{\phi(2^k)}{2} = 2^{k-2}.$$



Thm: Let $k \ge 3$, then $\operatorname{ord}_{2^{k}} 5 = \frac{\phi(2^{k})}{2} = 2^{k-2}$.

Proof:

Since $5^{2^{k-2}} = 1 \mod 2^k$ (from above theorem), if we can prove that $\operatorname{ord}_{2^k} 5 \not\mid 2^{k-3}$, i.e, $5^{2^{k-3}} \neq 1 \mod 2^k$, then $\operatorname{ord}_{2^k} 5 = 2^{k-2}$. By mathematical induction, for k = 3, $5 = 1 + 4 \mod 8$ $= 1 + 2^{k-1} \mod 2^k \neq 1 \mod 2^k$.



Assume that $5^{2^{k-3}} = 1 + 2^{k-1} \mod 2^k$ then $\exists d \in Z^+ \ni 5^{2^{k-3}} = 1 + 2^{k-1} + d \cdot 2^k$ $\therefore 5^{2^{k-2}} = (1 + 2^{k-1})^2 + 2(1 + 2^{k-1})d \cdot 2^k + (d \cdot 2^k)^2$ $= (1 + 2^{k-1})^2 = 1 + 2^k + 2^{2k-2} = 1 + 2^k \neq 1 \mod 2^{k+1}$ $\therefore \operatorname{ord}_{2^k} 5 = \frac{\phi(2^k)}{2} = 2^{k-2}$

Thm:

If $n \in Z^+$ and $n \neq p^t$ or $n \neq 2p^t$, where *p* is an odd prime, then *n* does not have a primitive root.



Proof:

Let $n \in \mathbb{Z}^+$ and $n = p_1^{t_1} p_2^{t_2} \cdots p_m^{t_m}$. Assume that *n* has a primitive root *r*, then (r, n)=1 and $\operatorname{ord}_n r = \phi(n)$. $(r, n) = 1 \implies (r, p_i^{t_i}) = 1, \forall 1 \le i \le m.$ By Euler's theorem, we have $r^{\phi(p_i^{t_i})} = 1 \mod p_i^{t_i}, \forall i$. Let $u = \text{lcm}(\phi(p_1^{t_1}), \phi(p_2^{t_2}), \dots, \phi(p_m^{t_m}), \text{then})$ $r^{u} = 1 \mod p_{i}^{t_{i}}, \forall i = 1, 2, \cdots m$ \therefore By CRT, we have $r^u = 1 \mod n \Rightarrow \operatorname{ord}_n r = \phi(n) \le u$. $\therefore \phi(n)$ is multiplicative $\Rightarrow \phi(n) = \phi(p_1^{t_1} p_2^{t_2} \cdots p_m^{t_m})$ $=\phi(p_1^{t_1})\cdots\phi(p_m^{t_m})$ 9-39



 $\Rightarrow \phi(p_1^{t_1})\phi(p_2^{t_2})\cdots\phi(p_m^{t_m}) \leq \operatorname{lcm}(\phi(p_1^{t_1}),\phi(p_2^{t_2})\cdots\phi(p_m^{t_m}))$ However, it is only possible for that $\phi(p_1^{t_1}),\phi(p_2^{t_2}),\cdots,\phi(p_m^{t_m})$ are pairwise relative prime. $\because \phi(p_i^{t_i}) = p_i^{t_i}(p_i - 1)$ is even if p is odd, or if $p_i = 2$ and $t_i \geq 2$. $\therefore \phi(p_1^{t_1}),\phi(p_2^{t_2}),\cdots,\phi(p_m^{t_m})$ are not paitwise relatively prime unless m = 1 and $n = p^t$ or m = 2 and $n = 2p^t$, where p is an odd prime and t is positive integer.



Thm:

If *p* is an odd prime and $t \in Z^+$, then $2p^t$ possesses a primitive root. Let *r* be a primitive root modulo p^t . (i) If *r* is odd, then *r* is also a primitive root modulo $2p^t$. (ii) If *r* is even, then $r + p^t$ is a primitive root modulo $2p^t$. Proof:

If r is a primitive root modulo p^t , then

 $r^{\phi(p^t)} = 1 \mod p^t \implies \text{no } a < \phi(p^t) \ni r^a = 1 \mod p^t$

 $\therefore \phi(2p^t) = \phi(2) \ \phi(p^t) = \phi(p^t) \Rightarrow r^{\phi(2p^t)} = 1 \mod p^t$ (1)



(i) If *r* is odd, then $r^{\phi(2p^t)} = 1 \mod 2$ (2) $\therefore r^{\phi(2p^t)} = 1 \mod 2p^t \Rightarrow r$ is a primitive root modulo $2p^t$ (ii) If *r* is even, then $r + p^t$ is odd. $\therefore (r + p^t)^{\phi(2p^t)} = (r + p^t)^{\phi(p^t)} = 1 \mod p^t$ and $(r + p^t)^{\phi(2p^t)} = 1 \mod 2$ $\Rightarrow r + p^t$ is a primitive root modulo $2p^t$.

Thm:

The positive integer n, n > 1, possesses a primitive root iff $n = 2, 4, p^t$ or $2p^t$, where p is an odd prime and $t \in Z^+$.



9.4 Index Arithmetic

Let *r* be a primitive root modulo *m*, $m \in Z^+$, then $S = \{r, r^2, ..., r^{\phi(m)}\}$

is a reduced system of residues modulo *m*.

If $a \in S$, then \exists a unique integer x with $1 \le x \le \phi(m) \ge r^x = a \mod m$.

Def:

Let *m* be a positive integer with primitive root *r*.

If $a \in Z^+$ with (a, m) = 1,

then the unique integer x with $1 \le x \le \phi(m)$ and $r^x = a \mod m$ is called the *index* of a to the base r modulo m.

We write $x = ind_r a$ (assume *m* is fixed) and

 $a = r^{ind_r a} \mod m$



Property: If $a = b \mod m$ and (a, m) = (b, m) = 1, then ind_r $a = ind_rb$ a r^1 (ind $r^{a_1} = 1$) a_2 r^{2} (ind $r^{a_{2}} = 2$) $r^{\phi(m)}$ (ind $a_{\phi(m)} = \phi(m)$ *→ a_{φ(m})*



Thm:

Let $m \in Z^+$ with primitive root *r*, and *a*, *b* be integers relatively prime to *m*. Then (i) $\operatorname{ind}_r 1 = 0 \mod \phi(m)$. (ii) $\operatorname{ind}_r(ab) = \operatorname{ind}_r a + \operatorname{ind}_r b \mod \phi(m)$ (iii) $\operatorname{ind}_r a^k = k \cdot \operatorname{ind}_r a \mod \phi(m)$ if $k \in Z^+$



Proof: (i) $\therefore r^{\phi(m)} = 1 \mod m \Rightarrow \operatorname{ind}_r 1 = \phi(m) = 0 \mod \phi(m)$ (ii) \therefore (1) $r^{\operatorname{ind}_r(ab)} = ab \mod m$ and (2) $r^{\operatorname{ind}_r a + \operatorname{ind}_r b} = r^{\operatorname{ind}_r a} \cdot r^{\operatorname{ind}_r b} = ab \mod m$ $\therefore \operatorname{ind}_r(ab) = \operatorname{ind}_r a + \operatorname{ind}_r b \mod \phi(m)$ (iii) $\therefore r^{\operatorname{ind}_r a^k} = a^k \mod m$ and $(r^{\operatorname{ind}_r a})^k = a^k \mod m$ $\therefore \operatorname{ind}_r a^k = k \cdot \operatorname{ind}_r a \mod \phi(m)$

Ex: Solve $6x^{12} = 11 \mod 17$ Sol: (1)Find that 3 is a primitive root of 17. Form table 1, we have $ind_3(6x^{12}) = ind_311 = 7 \mod 16$



Using (ii) and (iii), we have $ind_3(6x^{12}) = ind_36 + 12ind_3x \mod 16$ $\Rightarrow 7 = 15 + 12 \cdot ind_3x \mod 16$ $\Rightarrow 12 \cdot ind_3x = 8 \mod 16$ $\Rightarrow ind_3x = 2 \mod 4$ $\Rightarrow ind_3x = 2, 6, 10 \text{ or } 14 \mod 16$ $\therefore x=3^2=9, \text{ or } x=3^6=15, \text{ or } x=3^{10}=8, \text{ or } x=3^{14}=2$

Table 1.indices to the base 3 modulo 17

а	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
ind ₃ a	16	14	1	12	5	15	11	10	2	3	7	13	4	9	6	8



Use table 1 to find all solutions of $7^x = 6 \mod 17$ Sol:

 $ind_3(7^x) = ind_36 = 15 \mod 16$ From (iii), we have $ind_3(7^x) = x \cdot ind_37 = 11x \mod 16 = 15 \mod 16$ $\therefore 11^{-1} = 3 \mod 16$ $\therefore x = 13 \mod 16$

Def:

Ex:

If *m* and $k \in Z^+$ and (a, m) = 1. We say that *a* is a <u>*k*th power residue of *m*</u> if

 $x^k = a \mod m$

has a solution.



Thm: Let $m \in Z^+$ and *r* be a primitive root modulo *m*. If $k \in Z^+$ and (a, m) = 1, then $x^k = a \mod m$ has a solution iff *\(\phi(m)*) $a^{d} = 1 \mod m$ where $d = (k, \phi(m))$. Furthermore, if there are solutions of $x^k = a \mod m$, then there are exactly *d* incongruent solutions modulo *m*.



Proof:

 $x^{k} = a \mod m$ has holds iff $k \cdot \operatorname{ind}_{r} x = \operatorname{ind}_{r} a \mod \phi(m)$ (1) Let $d = (k, \phi(m))$ and $y = \operatorname{ind}_r x \ni x = r^y \mod m$. Then $ky = ind_r a \mod \phi(m)$ (2) has no solution if $d \nmid ind_r a$. Hence there are no solutions of $x^k = a \mod m$ if $d \nmid ind_r a$. If d ind, a, then there are exactly d solutions \ni (1) holds. Since $d \mid \operatorname{ind}_r a$ iff $\frac{\phi(m)}{d} \operatorname{ind}_r a = 0 \mod \phi(m)$ and this congruence holds iff $a^{\frac{\phi(m)}{d}} = 1 \mod m$. $(\frac{\phi(m)}{2} \operatorname{ind}_r a = 0 \mod \phi(m) \Rightarrow \operatorname{ind}_r a^{\frac{\phi(m)}{d}} = 0 \mod \phi(m) \Rightarrow a^{\frac{\phi(m)}{d}} = 1 \mod m.)$



Ex: Determine whether 5 is a sixth power residue of 17 (i.e., whether $x^6 = 5 \mod 17$ has a solution.)

Sol: :: (6, 16) = 2 and
$$5^{\frac{16}{(6, 16)}} = 5^8 = -1 \mod 17 \neq 1 \mod 17$$

∴ 5 is not a sixth power residue of 17.

Thm^{*}: If *n* is an odd compositive positive integer, then *n* passes Miller's test for at most $\frac{n-1}{4}$ bases *b* with $1 \le b \le n-1$.



Lemma:

Let *p* be an odd prime and let *e*, $q \in Z^+$. Then the number of incongruent solutions of

 $x^q = 1 \mod p^e$

is $(q, p^{e-1}(p-1))$.

Proof:

Let *r* be a primitive root of p^e , then $x^q = 1 \mod p^e$ iff $qy = 0 \mod \phi(p^e)$, where $y = \operatorname{ind}_r x$. \therefore There are exactly $(q, \phi(p^e))$ incongruent solutions of $qy = 0 \mod \phi(p^e) \Rightarrow$ there are $(q, \phi(p^e)) = (q, p^{e-1}(p-1))$ incongruent solutions of $x^q = 1 \mod p^e$.



Proof of Thm*:

Let $n - 1 = 2^s t$, $s \in z^+$ and t is odd and $t \in z^+$.

For *n* to be a strong pseudo prime to be base *b*, either $b^t = 1 \mod n$ or $b^{2^{j}t} = -1 \mod n$ for some $0 \le j \le n$ s-1. In either case, $b^{n-1}=1 \mod n$. If $p_i^{e_j}|n$, then $(n-1, \phi(p_i^{t_j})) = (n-1, p_i-1)$. (page 195) Let $n = p_1^{e_1} p_2^{e_2} \cdots p_r^{e_r}$. : there are $(n-1, p_i^{e_j-1}(p_i-1)) = (n-1, p_i-1)$ $p_j^{e_j}, j = 1, 2, \dots, r$. incongruent solutions of $x^{n-1} = 1 \mod \prod_{j=1}^{n} (n-1, p_j - 1)$ \therefore By CRT, there are exactly incongruent solutions of $\mathcal{X}^{n_53} = 1 \mod n$.



(1) For
$$p_k^{e_k}$$
, $e_k \ge 2$, $\because \frac{p_k - 1}{p_k^{e_{k-1}}} = \frac{1}{p_k^{e_{k-1}}} - \frac{1}{p_k^{e_k}} \le \frac{2}{9}$, $(p_k = 3, e_k = 2)$
 $\therefore \prod_{j=1}^r (n-1, p_j - 1) \le \prod_{j=1}^r (p_j - 1) \le \prod_{j=1}^r p_j (\frac{2}{9} p_k^{e_k}) \le \frac{2}{9} n$
 $\therefore \frac{2}{9} n \le \frac{1}{4} (n-1)$ for $n \ge p \therefore \prod_{j=1}^r p_j (\frac{2}{9} p_k^{e_k}) \le \frac{1}{4} (n-1)$
 \therefore there are at most $\frac{n-1}{4}$ integers $b, 1 \le b \le n$, for which n is a strong pseudo prime to be base b .
(2) For $n = p_1 p_2 \dots p_r$, where p_1, p_2, \dots, p_r are distinct odd primes. Let $p_i - 1 = 2^{s_i} t_i$, $i = 1, 2, \dots, r$, s_j , $t_i \in z^+$ and t_i is odd. Reorder the primes p_1, p_2, \dots, p_r (if necessary) so that $s_1 \le s_2 \le \dots \le s_r$. Note that $(n-1, p_i-1) = 2^{\min(s,s_i)}(t, t_i)$



The number of solutions of $x^t = 1 \mod p^i$ is $T_i = (t, t_i)$. There are $2^j t_i$ solutions of $x^{2^j t} = -1 \mod p_i$, $0 \le j \le s_i$ 1, and no solutions otherwise. \therefore By CRT, there are $T_1 T_2 \dots T_r$ solutions of $x^t = 1 \mod n$, and $2^{jr} T_1 T_2 \dots T_r$ solutions of $x^{2^j t} = -1 \mod n$, $0 \le j \le s_i$ 1. \therefore there are a total of $T_1 T_2 \dots T_r \left[1 + \sum_{j=0}^{s_i-1} 2^{jr} \right] = T_1 T_2 \dots T_r \left[1 + \frac{2^{s_i r}}{2^{r-1}} \right]$ Integer $b, 1 \le b \le n$ -1, for which n is a strong pseudo prime to the base b.

Note that $\phi(n) = (p_1-1)(p_2-1)...(p_r-1) = t_1t_2\cdots t_r 2^{s_1+\cdots+s_r}$



We want to show that
$$T_1T_2 \cdots T_r \left[1 + \frac{2^{s_1r}}{2^{r-1}} \right] \le \frac{\phi(n)}{4}$$

 $\therefore \frac{1 + \frac{2^{s_1r} - 1}{2^r - 1}}{2^{s_1 + s_2 + \dots + s_r}} \le \frac{1 + \frac{2^{s_1r} - 1}{2^r - 1}}{2^{s_1r}} \le \frac{1}{2^r - 1} \le \frac{1}{4}$ if $r \ge 3$.
When $r = 2$, $n = p_1p_2$ with $p_1 - 1 = 2^{s_1}t_1$

and $p_2 - 1 = 2^{s_2} t_2, s_1 \le s_2$.

If
$$s_1 = s_2$$
, then $\frac{\left[1 + \frac{2^{s_1} - 1}{3}\right]}{2^{s_1 + s_2}} = \frac{1 + \frac{2^{s_1} - 1}{3}}{2^{s_1} \cdot 2^{s_2 - s_1}} = \frac{\frac{1}{3} + \frac{1}{3 \cdot 2^{2s_1} - 1}}{2^{s_2 - s_1}} \le \frac{1}{4}$



If $s_1 = s_2$, then $(n-1, p_1-1) = 2^s T_1$, and $(n-1, p_2-1) = 2^s T_2$. Let note that $T_1 \neq t_1$, for if $T_1 = t_1$ then $(p_1-1) \mid (n-1)$, so that $n = p_1 p_2 = p_2 = 1 \mod p_1 - 1$ which implies $p_2 > p_1$. $T_1 \neq t_2$ $\Rightarrow T_2 \leq \frac{t_2}{3}, \therefore T_1 T_2 \leq \frac{t_1 t_2}{3}.$ $::\frac{\left[1+\frac{2^{-r}-1}{3}\right]}{2^{2s_1}} \le \frac{1}{2} \Rightarrow T_1 T_2 \left[1+\frac{2^{2s_1}-1}{3}\right] \le t_1 t_2 \frac{2^{2s_1}}{6} = \frac{\phi(n)}{6} \le \frac{n-1}{6} \le \frac{n-1}{4}$



Remark:

The prob. that *n* is a strong pseudo prime to the random chosen base *b*, $1 \le b \le n-1$, is close to $\frac{1}{4}$ only for integers *n* with prime factor is of the form

 $n = p_1 p_2$ with $p_1 = 1 + 2q_1$ and $p_2 = 1 + 4q_2$ where q_1, q_2 are odd primes, or $n = p_1 p_2 p_3$ with $p_1 = 1 + 2q_1$, $p_2 = 1 + 2q_2$ and $p_3 = 1 + 2q_3$, where q_1, q_2, q_3 are distinct odd primes.



9.5 Primary Test using primitive roots

From Thm, we know that $n \in Z^+$, n > 1, processes a primitive root iff $n = 2, 4, p^t$, or $2p^t$, where p is an odd prime and $t \in Z^+$. Thus, if $n \in Z^+$ and is odd and if $\exists x \in Z^+ \ni x$ is a primitive root satisfying $x^{n-1} = 1 \mod n$, then n is prime. Note: If $n = p^t > 1$, then $x^{\phi(n)} = 1 \mod n$, where $\phi(n) = p^{t-1}(p-1) \neq n-1$.



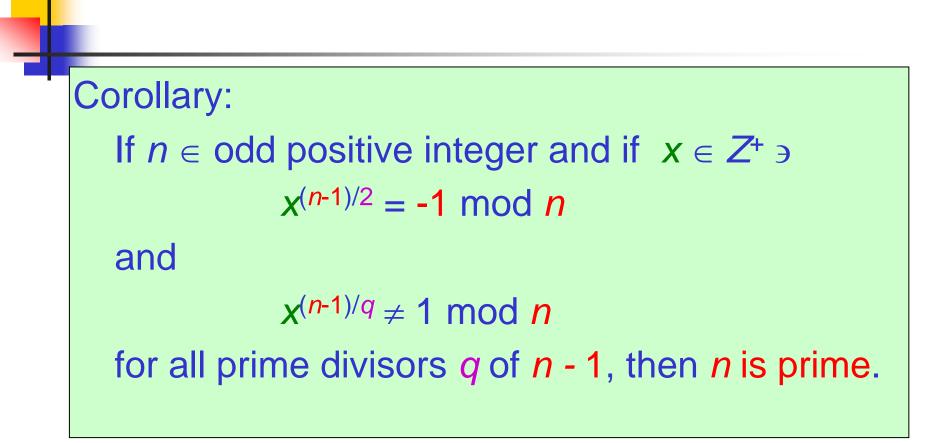
Thm: If $n \in Z^+$ and if $\exists x \in Z^+ \ni$ $x^{n-1} = 1 \mod n$ and $x^{(n-1)/q} \neq 1 \mod n$ for all prime divisors q of n - 1, then n is prime.



Proof:

 $x^{n-1}=1 \mod n$, $\Rightarrow \operatorname{ord}_n x|(n-1)$. If $\operatorname{ord}_n x \neq n-1$, then $\exists k \in n - 1 = k \cdot \operatorname{ord}_n x$, then $x^{\frac{n-1}{q}} = x^{\frac{k \times \operatorname{ord}_n x}{q}} = (x^{\operatorname{ord}_n x})^{\frac{k}{q}} = 1 \mod n$ $(x^{\frac{n-1}{q}} \neq 1 \mod n, \forall q | n - 1)$ Where *q* is a prime divisor of *k*. However, this contradicts the hypothese of the theorem $\therefore \operatorname{ord}_n x = n - 1$, $\operatorname{ord}_n x \leq n - 1$, we conclude that $\phi(n)$ $= n - 1 \Rightarrow n$ is prime.







This primality test is a deterministic test and is presented by Lucus.

- In order to use this primality test, it needs to factor n-1 in advance. If n-1 cannot be factored, then the method is infeasible.
- 2. This test is very useful for test the primality of Fermat numbers.



Thm:

If *n* is composite, this can be proved with $O((\log_2 n)^2)$ bit operations.

(When the appropriate information is know).

Proof:

If *n* is composite, then \exists *a* and *b* with 1 < a < n, 1 < b < n and n = ab. Taking $O((\log_2 n)^2)$ bit operations to proof that *n* is composite.



Thm :

If *n* is prime, this can be proven using $O((\log_2 n)^4)$ bit operations.

(When the appropriate information is known)

Proof :

Let f(n) be the total number of multiplications and modular exponentiations need to verify that the integer n is prime.

We want to show that $f(n) \le 3\left(\frac{\log n}{\log 2}\right) - 2$ (1) $f(2)=1 \Rightarrow (1)$ is true. Assume that for all primes q, q < n the inequality $f(q) \le 3\left(\frac{\log n}{\log 2}\right) - 2$ is true.



If *n* is prime, then $\exists 2^n q_1, \dots, q_t$ and *x* satisfy $n-1=2^{a}q_{1}\ldots q_{t} \Rightarrow t$ multiplications. i. q_i is prime $\forall 1 \le i \le t \Longrightarrow f(q_i), \forall 1 \le i \le t$ ii. $x^{\frac{n-1}{2}} = -1 \mod n \rightarrow 1$ exponentiations iii. n-1iv. $x^{q_j} \neq 1 \mod n, \forall 1 \le i \le t$ exponentiations $\therefore f(n) = t + (t+1) + \sum_{i=1}^{t} f(q_i) \le 2t + 1 + \sum_{i=1}^{t} 3\frac{\log q_i}{\log 2} - 2 \le 3\frac{\log n}{\log 2} - 2$ = $3\log_2 n - 2$ 1 modular exponentiation requires $O((\log_2 n)^3)$. Total number of bit operations needed is $O((\log_2 n)^4)$.



Remark :

1. Above theorem cannot be used to find this short proof of primality, since the

factorization of *n*-1

and

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the primitive root x of n
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are required.

2. An efficient primality test requires fewer than $(\log_2 n)^{c\log_2 \log_2 \log_2 n}$ bit operations, where *c* is a constant.



9.6 Universal Exponents

Def:

A universal exponent of positive integer *n* is a positive *U* such that

 $a^U = 1 \mod n$,

for all integers *a* relatively prime to *n*.

Remark: If $n = p_1^{t_1} p_2^{t_2} \dots p_m^{t_m}$ and (a, n) = 1, then

 $a^{\phi(p^{t_i})} = 1 \mod p^{t_i},$

where $p^{t_i} \mid n$.

 $\Rightarrow a^{U} = 1 \mod n \text{ if } U = lcm(\phi(p_1^{t_1}), \phi(p_2^{t_2}), \dots, \phi(p_m^{t_m})),$

 \Rightarrow **U** exixt for all $n \in Z^+$.



Problem :

- 1. Given *n*, what is the least universal exponent of *n*?
- 2. How to find $a \ge$

 $\operatorname{ord}_{n}a = \lambda(n),$

where $\lambda(n)$ is the least universal exponent



Def :

The least universal exponent of the positive integer *n* is called the *minimal universal exponent* of *n*, and is denoted by $\lambda(n)$.

Remark :

1. If *n* has a primitive root , then $\lambda(n) = \mathcal{Q}(n)$.

- (a) $n = p^t$, then $\lambda(p^t) = \mathcal{O}(p^t) = p^{t-1}(p-1)$, where p is odd prime and $t \in Z^+$.
- (b) n = 2, then $\lambda(2) = \emptyset(2) = 1$.
- (c) n = 4, then $\lambda(4) = \emptyset(4) = 2$.
- (d) $n = 2p^{t}$, then $\lambda(2p^{t}) = \mathcal{O}(2p^{t}) = p^{t-1}(p-1)$.



Remark :

1. If *n* has a primitive root, then $\lambda(n) = \phi(n)$. (a) $n = p^{t}$, then $\lambda(p^{t}) = \phi(p^{t}) = p^{t-1}(p-1)$, where *p* is odd prime and $t \in Z^{+}$. (b) n = 2, then $\lambda(2) = \phi(2) = 1$. (c) n = 4, then $\lambda(4) = \phi(4) = 2$. (d) $n = 2p^{t}$, then $\lambda(2p^{t}) = \phi(2p^{t}) = p^{t-1}(p-1)$. 2. If $n = 2^{t}$, $t \ge 3$, then $\lambda(2^{t}) = 2^{t-2}$,

 $\therefore \text{ If } (a, n) = 1 \Longrightarrow a \text{ is odd and } a^{2^{t-2}} = 1 \mod 2^t$



Thm : Let $n = 2^{t_0} p_1^{t_1} \dots p_m^{t_m}$, then $\lambda(n) = [\lambda(2^{t_0}), \phi(p_1^{t_1}), \dots, \phi(p_m^{t_m})]$. Moreover, $\exists a \in Z^+ \ni ord_n a = \lambda(n)$.

Proof : Let $a \in Z^+$, and (a,n) = 1 and

let $M = \text{lcm}[\lambda(2^{t_0}), \phi(p_1^{t_1}), ..., \phi(p_m^{t_m})]$

 $a^{\lambda(p^t)} = a^{\phi(p^t)} = 1 \mod p^t$, for all $p^t \mid n$.

 $\therefore a^{M} = 1 \mod p^{t} \rightarrow a^{M} = 1 \mod (CRT)$

Now , we prove that M is the least universal exponent. Let r_i be a primitive root of $p_i^{t_i}$.

Consider the system of simultaneous congruences .



$$a = 5 \mod 2^{t_0} \Rightarrow ord_{2^{t_0}} a = \lambda(2^{t_0})$$

$$a = r_1 \mod p_1^{t_1} \Rightarrow ord_{p_1^{t_1}} a = \lambda(p_1^{t_1}) = \varphi(p_1^{t_1})$$

$$\vdots$$

$$a = r_m \mod p_m^{t_m} \Rightarrow ord_{p_m^{t_m}} a = \lambda(p_m^{t_m}) = \varphi(p_m^{t_m})$$
Then, by CRT, $\exists a \ni ord_n a = M$, and $1 \le a \le n-1$
Remark : Above thm tells us a method to find $a \ni (a, n) = 1$ and $ord_n a = \lambda(n)$



Note: A carmichael number *n* is a composite integer that satisfies

 $b^{n-1} = 1 \mod n$,

for $\forall b \in Z^+$, and (b, n) = 1.

We have proved that if $n = q_1 q_2 \dots q_k$, where $q_1 q_2 \dots q_k$ are distinct primes satisfying $(q_j - 1) | (n - 1), \forall 1 \le j \le k$, then *n* is a Carmichael number in Thm5.7 (p.195). Here ,we prove the converse of the result.



Thm : If n > 2 is a Carmichael number, then $n = q_1 q_2 ... q_k$, where the $g_j s$ are distinct primes $\exists (q_j - 1) | (n - 1)$ for all j = 1, 2, ..., k

Proof : If *n* is a Carmichael number m then $b^{n-1} = 1 \mod n$, $\forall (b, n) = 1$

however, $\exists a \in Z^+$, such that $ord_n a = \lambda(n)$ and (a, n) = 1. $\therefore \lambda(n) | n-1$

(1)*n* must be odd, Θ if *n* is even, then n-1 is odd, but $\lambda(n)$ is even (n > 2), contradicting $\lambda(n) | n-1$. (2)*n* must be the product of distinct primes, i.e. $n = q_1 q_2 \dots q_k$. If

$$p^t \mid n, t \ge 2$$
, then $\lambda(p^t) = \varphi(p^t) = p^{t-1}(p-1) \mid \lambda(n) \mid n-1$, which



S impossible *p* | *n*.

(3) If $n = q_1 q_2 \dots q_k$, where $q_j s$ are distinct primes , $1 \le j \le k$, then $\lambda(q_j) = \varphi(q_j) = (q_j - 1) | \lambda(n)(n - 1)$.

Thm : A Carmichael number must have at least three different odd prime factors .

Proof : Let *n* be a Carmichael number . Since *n* is the product of distinct primes .Let n = pq, where *p* and *q* are odd primes with p > q, then n-1 = pq-1 = (p-1)q + (q-1) $q-1 \neq 0 \mod p-1$. $\rightarrow (p-1) \nmid (n-1)$, it is impossible, \therefore *n* cannot be a Carmichael number.