

# Chapter 1: Foundations: Logic and Proofs



# Foundations of Logic

## (§1.1-1.3)

- Mathematical Logic* is a tool for working with complicated *compound* statements. It includes:
- A language for expressing them.
  - A concise notation for writing them.
  - A methodology for objectively reasoning about their truth or falsity.
  - It is the foundation for expressing formal proofs in all branches of mathematics.



# Foundations of Logic: Overview

- **Propositional logic** (§1.1-1.2):
  - Basic definitions. (§1.1)
  - Equivalence rules & derivations. (§1.2)
- **Predicate logic** (§1.3-1.4)
  - Predicates.
  - Quantified predicate expressions.
  - Equivalences & derivations.

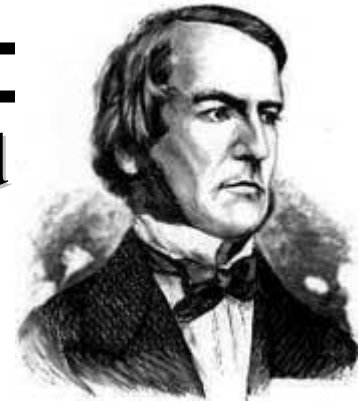


# Propositional Logic (§1.1)

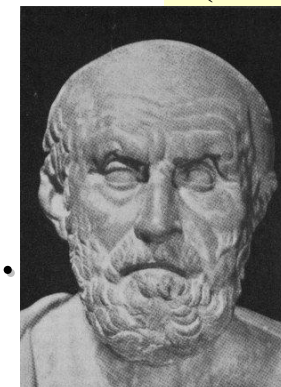
*Propositional Logic* is the logic of compound statements built from simpler statements using so-called *Boolean connectives*.

Some applications in computer science:

- Design of digital electronic circuits.
- Expressing conditions in programs.
- Queries to databases & search engines.



George Boole  
(1815-1864)



Chrysippus of Soli  
(ca. 281 B.C. – 205 B.C.)



## Definition of a *Proposition*

A **proposition** ( $p, q, r, \dots$ ) is simply a *statement* (i.e., a declarative sentence) *with a definite meaning*, having a *truth value* that's either **true** (T) or **false** (F) (**never** both, neither, or somewhere in between).

(However, you might not *know* the actual truth value, and it might be situation-dependent.)

[Later we will study *probability theory*, in which we assign *degrees of certainty* to propositions. But for now: think True/False only!]



# Examples of Propositions

- “It is raining.” (In a given situation.)
- “Beijing is the capital of China.” • “ $1 + 2 = 3$ ”

But, the following are **NOT** propositions:

- “Who’s there?” (interrogative, question)
- “La la la la la.” (meaningless interjection)
- “Just do it!” (imperative, command)
- “Yeah, I sorta dunno, whatever...” (vague)
- “ $1 + 2$ ” (expression with a non-true/false value)



# Operators / Connectives

An *operator* or *connective* combines one or more *operand* expressions into a larger expression. (E.g., “+” in numeric exprs.)

*Unary* operators take 1 operand (e.g.,  $-3$ );

*Binary* operators take 2 operands (eg  $3 \times 4$ ).

*Propositional* or *Boolean* operators operate on propositions or truth values instead of on numbers.



# Some Popular Boolean Operators

<u>Formal Name</u>	<u>Nickname</u>	<u>Arity</u>	<u>Symbol</u>
Negation operator	NOT	Unary	$\neg$
Conjunction operator	AND	Binary	$\wedge$
Disjunction operator	OR	Binary	$\vee$
Exclusive-OR operator	XOR	Binary	$\oplus$
Implication operator	IMPLIES	Binary	$\rightarrow$
Biconditional operator	IFF	Binary	$\leftrightarrow$





# The Negation Operator

The unary *negation operator* “ $\neg$ ” (**NOT**) transforms a prop. into its logical *negation*.

*E.g.* If  $p =$  “I have brown hair.”

then  $\neg p =$  “I do **not** have brown hair.”

*Truth table* for **NOT**:

T  $\equiv$  True; F  $\equiv$  False

“ $\equiv$ ” means “is defined as”

$p$	$\neg p$
T	F
F	T

Operand  
column

Result  
column



# The Conjunction Operator

The binary *conjunction operator* “ $\wedge$ ” (*AND*) combines two propositions to form their logical *conjunction*.

*E.g.* If  $p$  = “I will have salad for lunch.” and  $q$  = “I will have steak for dinner.”, then  $p \wedge q$  = “I will have salad for lunch **and** I will have steak for dinner.”

$\wedge$ AND

Remember: “ $\wedge$ ” points up like an “A”, and it means “AND”



# Conjunction Truth Table

- Note that a conjunction  $p_1 \wedge p_2 \wedge \dots \wedge p_n$  of  $n$  propositions will have  $2^n$  rows in its truth table.

Operand columns

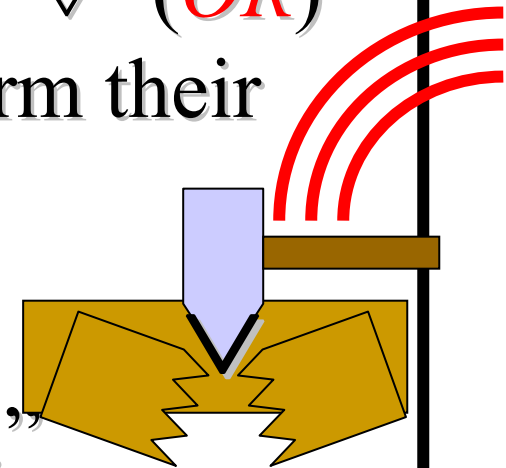
$p$	$q$	$p \wedge q$
F	F	
F	T	
T	F	
T	T	

- Also:  $\neg$  and  $\wedge$  operations together are sufficient to express *any* Boolean truth table!



# The Disjunction Operator

- The binary *disjunction operator* “ $\vee$ ” (*OR*) combines two propositions to form their logical *disjunction*.
- $p$  = “My car has a bad engine.”
- $q$  = “My car has a bad carburetor.”
- $p \vee q$  = “Either my car has a bad engine, **or** my car has a bad carburetor.”



Meaning is like “and/or” in English.

After the downward-pointing “axe” of “ $\vee$ ” splits the wood, you can take 1 piece **OR** the other, or both.

# Disjunction Truth Table

- Note that  $p \vee q$  means that  $p$  is true, or  $q$  is true, **or both** are true!
- So, this operation is also called *inclusive or*, because it **includes** the possibility that both  $p$  and  $q$  are true.
- “ $\neg$ ” and “ $\vee$ ” together are also universal.

$p$	$q$	$p \vee q$
F	F	
F	T	
T	F	
T	T	



# Nested Propositional Expressions

- Use parentheses to *group sub-expressions*:  
 “I just saw my old friend, **and** either he’s grown or I’ve shrunk.” =  $f \wedge (g \vee s)$ 
  - $(f \wedge g) \vee s$  would mean something different
  - $f \wedge g \vee s$  would be ambiguous
- By convention, “ $\neg$ ” takes *precedence* over both “ $\wedge$ ” and “ $\vee$ ”.
  - $\neg s \wedge f$  means  $(\neg s) \wedge f$ , **not**  $\neg (s \wedge f)$



## A Simple Exercise

Let  $p$  = “It rained last night”,

$q$  = “The sprinklers came on last night,”

$r$  = “The lawn was wet this morning.”

Translate each of the following into English:

$\neg p$  = “It didn’t rain last night.”

$r \wedge \neg p$  = “The lawn was wet this morning, and it didn’t rain last night.”

$\neg r \vee p \vee q$  = “Either the lawn wasn’t wet this morning, or it rained last night, or the sprinklers came on last night.”



# The *Exclusive Or* Operator

The binary *exclusive-or operator* “ $\oplus$ ” (*XOR*) combines two propositions to form their logical “exclusive or” (exjunction?).

$p$  = “I will earn an A in this course,”

$q$  = “I will drop this course,”

$p \oplus q$  = “I will either earn an A for this course, or I will drop it (**but not both!**)”





## Exclusive-Or Truth Table

- Note that  $p \oplus q$  means that  $p$  is **true**, or  $q$  is **true**, but **not both**!

$p$	$q$	$p \oplus q$
F	F	
F	T	
T	F	
T	T	

- This operation is called *exclusive or*, because it **excludes** the possibility that both  $p$  and  $q$  are true.
- “ $\neg$ ” and “ $\oplus$ ” together are **not** universal.



# Natural Language is Ambiguous

Note that English “or” can be ambiguous regarding the “both” case!

“Pat is a singer or  
Pat is a writer.” -  $\vee$

“Pat is a man or  
Pat is a woman.” -  $\oplus$

$p$	$q$	$p$ "or" $q$
F	F	
F	T	
T	F	
T	T	

Need context to disambiguate the meaning!

**For this class, assume “or” means inclusive.**



# The *Implication* Operator

antecedent      consequent

The *implication*  $p \rightarrow q$  states that  $p$  implies  $q$ .

*I.e.*, If  $p$  is true, then  $q$  is true; but if  $p$  is not true, then  $q$  could be either true or false.

*E.g.*, let  $p$  = “You study hard.”

$q$  = “You will get a good grade.”

$p \rightarrow q$  = “If you study hard, then you will get a good grade.” (else, it could go either way)



# Implication Truth Table

- $p \rightarrow q$  is **false** only when  $p$  is true but  $q$  is **not** true.

- $p \rightarrow q$  does **not** say that  $p$  causes  $q$ !

- $p \rightarrow q$  does **not** require that  $p$  or  $q$  are ever true!

- *E.g.* “ $(1=0) \rightarrow$  pigs can fly” is TRUE!

$p$	$q$	$p \rightarrow q$
F	F	
F	T	
T	F	
T	T	



## Examples of Implications

- “If this lecture ends, then the sun will rise tomorrow.” *True* or *False*?
- “If Tuesday is a day of the week, then I am a penguin.” *True* or *False*?
- “If  $1+1=6$ , then Bush is president.” *True* or *False*?
- “If the moon is made of green cheese, then I am richer than Bill Gates.” *True* or *False*?



## Why does this seem wrong?

- Consider a sentence like,
  - “If I wear a red shirt tomorrow, then the U.S. will attack Iraq the same day.”
- In logic, we consider the sentence **True** so long as either I don't wear a red shirt, or the US attacks.
- But in normal English conversation, if I were to make this claim, you would think I was lying.
  - Why this discrepancy between logic & language?



# Resolving the Discrepancy

- In English, a sentence “if  $p$  then  $q$ ” usually really *implicitly* means something like,
  - “In all possible situations, if  $p$  then  $q$ .”
    - That is, “For  $p$  to be true and  $q$  false is *impossible*.”
    - Or, “I *guarantee* that no matter what, if  $p$ , then  $q$ .”
- This can be expressed in *predicate logic* as:
  - “For all situations  $s$ , if  $p$  is true in situation  $s$ , then  $q$  is also true in situation  $s$ ”
  - Formally, we could write:  $\forall s, P(s) \rightarrow Q(s)$
- This sentence is **logically False** in our example, because **for me to wear a red shirt** and **the U.S. not to attack Iraq** is a *possible* (even if not actual) situation.
  - Natural language and logic then agree with each other.

## English Phrases Meaning $p \rightarrow q$

- “ $p$  implies  $q$ ”
- “if  $p$ , then  $q$ ”
- “if  $p$ ,  $q$ ”
- “when  $p$ ,  $q$ ”
- “whenever  $p$ ,  $q$ ”
- “ $p$  only if  $q$ ” “
- $p$  is sufficient for  $q$ ”
- “ $q$  if  $p$ ”

- “ $q$  when  $p$ ”
- “ $q$  whenever  $p$ ”
- “ $q$  is necessary for  $p$ ”
- “ $q$  follows from  $p$ ”
- “ $q$  is implied by  $p$ ”

We will see some equivalent logic expressions later.





# Converse, Inverse, Contrapositive

Some terminology, for an implication  $p \rightarrow q$ :

- Its *converse* is:
- Its *inverse* is:
- Its *contrapositive*:
- One of these three has the *same meaning* (same truth table) as  $p \rightarrow q$ . Can you figure out which?

**Contrapositive**



# How do we know for sure?

Proving the equivalence of  $p \rightarrow q$  and its contrapositive using truth tables:

$p$	$q$	$\neg q$	$\neg p$	$p \rightarrow q$	$\neg q \rightarrow \neg p$
F	F	T	T	T	T
F	T	F	T	F	F
T	F	T	F	F	F
T	T	F	F	T	T



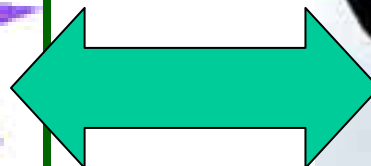
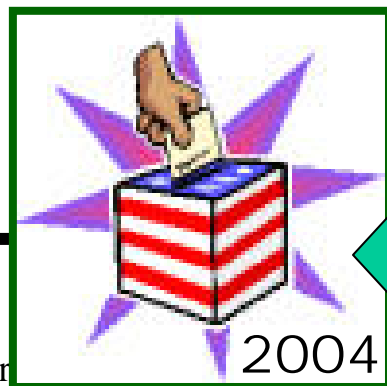
# The *biconditional* operator

The *biconditional*  $p \leftrightarrow q$  states that  $p$  is true if and only if (IFF)  $q$  is true.

$p$  = “Bush wins the 2004 election.”

$q$  = “Bush will be president for all of 2005.”

$p \leftrightarrow q$  = “If, and only if, Bush wins the 2004 election, Bush will be president for all of 2005.”



# Biconditional Truth Table

- $p \leftrightarrow q$  means that  $p$  and  $q$  have the **same truth value**.

- Note this truth table is the exact **opposite** of  $\oplus$ 's!

$$- p \leftrightarrow q \text{ means } \neg(p \oplus q)$$

- $p \leftrightarrow q$  does **not** imply  $p$  and  $q$  are true, or cause each other.

$p$	$q$	$p \leftrightarrow q$
F	F	T
F	T	F
T	F	F
T	T	T



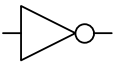
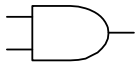


# Boolean Operations Summary

- We have seen 1 unary operator (out of the 4 possible) and 5 binary operators (out of the 16 possible). Their truth tables are below.

$p$	$q$	$\neg p$	$p \wedge q$	$p \vee q$	$p \oplus q$	$p \rightarrow q$	$p \leftrightarrow q$
F	F	T	F	F	F	T	T
F	T	T	F	T	T	T	F
T	F	F	F	T	T	F	F
T	T	F	T	T	F	T	T

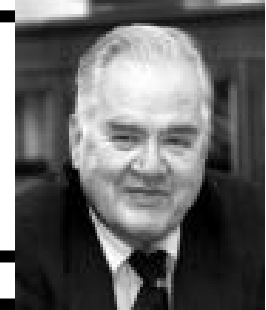


# Some Alternative Notations

Name:	not	and	or	xor	implies	iff
Propositional logic:	$\neg$	$\wedge$	$\vee$	$\oplus$	$\rightarrow$	$\leftrightarrow$
Boolean algebra:	$\bar{p}$	$pq$	$+$	$\oplus$		
C/C++/Java (wordwise):	<code>!</code>	<code>&amp;&amp;</code>	<code>  </code>	<code>!=</code>		<code>==</code>
C/C++/Java (bitwise):	<code>~</code>	<code>&amp;</code>	<code> </code>	<code>^</code>		
Logic gates:						



# Bits and Bit Operations



John Tukey  
(1915-2000)

- A *bit* is a binary (base 2) digit: 0 or 1.
- Bits may be used to represent truth values.
- By convention:
  - 0 represents “false”; 1 represents “true”.
- *Boolean algebra* is like ordinary algebra except that variables stand for bits, **+** means “or”, and **multiplication** means “and”.
  - See chapter 10 for more details.



# Bit Strings

- A *Bit string* of *length*  $n$  is an ordered series or sequence of  $n \geq 0$  bits.
  - More on sequences in §2.4.
- By convention, bit strings are written left to right: *e.g.* the first bit of “1001101010” is 1.
- When a bit string represents a base-2 number, by convention the first bit is the *most significant* bit. *Ex.*  $1101_2 = 8 + 4 + 1 = 13$ .





# Counting in Binary

- Did you know that you can count to 1,023 just using two hands?
  - How? Count in binary!
    - Each finger (up/down) represents 1 bit.
- To increment: Flip the rightmost (low-order) bit.
  - If it changes  $1 \rightarrow 0$ , then also flip the next bit to the left,
    - If that bit changes  $1 \rightarrow 0$ , then flip the next one, *etc.*
- 0000000000, 0000000001, 0000000010, ...  
..., 1111111101, 1111111110, 1111111111



# Bitwise Operations

- Boolean operations can be extended to operate on bit strings as well as single bits.

- E.g.:

01 1011 0110

11 0001 1101

Bit-wise OR

Bit-wise AND

Bit-wise XOR



## End of §1.1

You have learned about:

- Propositions: What they are.
- Propositional logic operators'
  - Symbolic notations.
  - English equivalents.
  - Logical meaning.
  - Truth tables.
- Atomic vs. compound propositions.
- Alternative notations.
- Bits and bit-strings.
- Next section: §1.2
  - Propositional equivalences.
  - How to prove them.



## Propositional Equivalence (§1.2)

Two *syntactically* (*i.e.*, textually) different compound propositions may be the *semantically* identical (*i.e.*, have the same meaning). We call them *equivalent*. Learn:

- Various *equivalence rules* or *laws*.
- How to *prove* equivalences using *symbolic derivations*.



# Tautologies and Contradictions

A ***tautology*** is a compound proposition that is **true no matter what the truth values** of its atomic propositions are!

*Ex.*  $p \vee \neg p$  [What is its truth table?]

A ***contradiction*** is a compound proposition that is **false no matter what!** *Ex.*  $p \wedge \neg p$  [Truth table?]

Other compound props. are ***contingencies***.



# Logical Equivalence

Compound proposition  $p$  is *logically equivalent* to compound proposition  $q$ , written  $p \leftrightarrow q$ , **IFF** the compound proposition  $p \leftrightarrow q$  **is a tautology**.

Compound propositions  $p$  and  $q$  are logically equivalent to each other **IFF**  **$p$  and  $q$  contain the same truth values** as each other in all rows of their truth tables.



# Proving Equivalence via Truth Tables

*Ex.* Prove that  $p \vee q \Leftrightarrow \neg(\neg p \wedge \neg q)$ .

$p$	$q$	$p \vee q$	$\neg p$	$\neg q$	$\neg p \wedge \neg q$	$\neg(\neg p \wedge \neg q)$
F	F					
F	T					
T	F					
T	T					



# Equivalence Laws

- These are similar to the arithmetic identities you may have learned in algebra, but for propositional equivalences instead.
- They provide a pattern or template that can be used to match all or part of a much more complicated proposition and to find an equivalence for it.





## Equivalence Laws - Examples

- *Identity:*  $p \wedge \mathbf{T} \Leftrightarrow p \vee \mathbf{F} \Leftrightarrow p$
- *Domination:*  $p \vee \mathbf{T} \Leftrightarrow \mathbf{T} \quad p \wedge \mathbf{F} \Leftrightarrow \mathbf{F}$
- *Idempotent:*  $p \vee p \Leftrightarrow p \quad p \wedge p \Leftrightarrow p$
- *Double negation:*  $\neg\neg p \Leftrightarrow p$
- *Commutative:*  $p \vee q \Leftrightarrow q \vee p \quad p \wedge q \Leftrightarrow q \wedge p$
- *Associative:*  $(p \vee q) \vee r \Leftrightarrow p \vee (q \vee r)$   
 $(p \wedge q) \wedge r \Leftrightarrow p \wedge (q \wedge r)$



# More Equivalence Laws

- *Distributive:*  $p \vee (q \wedge r) \Leftrightarrow p \vee q \wedge r$   
 $p \wedge (q \vee r) \Leftrightarrow p \wedge q \vee r$
- *De Morgan's:*  
 $\neg(p \wedge q) \Leftrightarrow \neg p \vee \neg q$   
 $\neg(p \vee q) \Leftrightarrow \neg p \wedge \neg q$
- *Trivial tautology/contradiction:*  
 $p \vee \neg p \Leftrightarrow \text{True}$        $p \wedge \neg p \Leftrightarrow \text{False}$



Augustus  
De Morgan  
(1806-1871)



# Defining Operators via Equivalences

Using equivalences, we can *define* operators in terms of other operators.

- Exclusive or:  $p \oplus q \Leftrightarrow (p \vee q) \wedge \neg(p \wedge q)$   
 $p \oplus q \Leftrightarrow (p \wedge \neg q) \vee (q \wedge \neg p)$
- Implies:  $p \rightarrow q \Leftrightarrow$
- Biconditional:  $p \leftrightarrow q \Leftrightarrow (p \rightarrow q) \wedge (q \rightarrow p)$   
 $p \leftrightarrow q \Leftrightarrow$



# An Example Problem

- Check using a symbolic derivation whether  $(p \wedge \neg q) \rightarrow (p \oplus r) \Leftrightarrow \neg p \vee q \vee \neg r$ .

$$(p \wedge \neg q) \xrightarrow{\text{red}} (p \oplus r) \Leftrightarrow$$

[Expand definition of  $\rightarrow$ ]

$$[\text{Defn. of } \oplus] \quad \Leftrightarrow \neg(p \wedge \neg q) \vee ((p \vee r) \wedge \neg(p \wedge r))$$

[DeMorgan's Law]

$$\Leftrightarrow \quad \vee ((p \vee r) \wedge \neg(p \wedge r))$$

$$\Leftrightarrow [\text{associative law}] \textit{ cont.}$$



## Example Continued...

$$\begin{aligned}
 & (\neg p \vee q) \vee ((p \vee r) \wedge \neg(p \wedge r)) \Leftrightarrow [\vee \text{ commutes}] \\
 & \Leftrightarrow \vee ((p \vee r) \wedge \neg(p \wedge r)) \quad [\vee \text{ associative}] \\
 & \Leftrightarrow q \vee (\neg p \vee ((p \vee r) \wedge \neg(p \wedge r))) \quad [\text{distrib. } \vee \text{ over } \wedge] \\
 & \Leftrightarrow q \vee ((\neg p \vee (p \vee r)) \wedge (\neg p \vee \neg(p \wedge r))) \\
 & [\text{assoc.}] \Leftrightarrow q \vee ((\quad) \wedge (\quad)) \\
 & [\text{trivial taut.}] \Leftrightarrow q \vee ((\quad) \wedge (\neg p \vee \neg(p \wedge r))) \\
 & [\text{domination}] \Leftrightarrow q \vee (\quad \wedge (\neg p \vee \neg(p \wedge r))) \\
 & [\text{identity}] \Leftrightarrow q \vee (\neg p \vee \neg(p \wedge r)) \Leftrightarrow \text{cont.}
 \end{aligned}$$



# End of Long Example

$$q \vee (\neg p \vee \neg(p \wedge r))$$

$$[\text{DeMorgan's}] \Leftrightarrow q \vee (\neg p \vee ( \quad ))$$

$$[\text{Assoc.}] \Leftrightarrow q \vee ((\neg p \vee \neg p) \vee \neg r)$$

$$[\text{Idempotent}] \Leftrightarrow q \vee ( \quad \vee \neg r)$$

$$[\text{Assoc.}] \Leftrightarrow (q \vee \neg p) \vee \neg r$$

$$[\text{Commut.}] \Leftrightarrow \neg p \vee q \vee \neg r$$

*Q.E.D. (quod erat demonstrandum)*

(Which was to be shown.)



## Review: Propositional Logic (§1.1-1.2)

- Atomic propositions:  $p, q, r, \dots$
- Boolean operators:  $\neg \wedge \vee \oplus \rightarrow \leftrightarrow$
- Compound propositions:  $s := (p \wedge \neg q) \vee r$
- Equivalences:  $p \wedge \neg q \Leftrightarrow \neg(p \rightarrow q)$
- Proving equivalences using:
  - Truth tables.
  - Symbolic derivations.  $p \Leftrightarrow q \Leftrightarrow r \dots$



## Predicate Logic (§1.3)

- **Predicate logic** is an extension of propositional logic that permits concisely reasoning about whole *classes* of entities.
- Propositional logic (recall) treats simple *propositions* (sentences) as atomic entities.
- In contrast, *predicate* logic distinguishes the **subject** of a sentence from its **predicate**.
  - Remember these English grammar terms?





# Applications of Predicate Logic

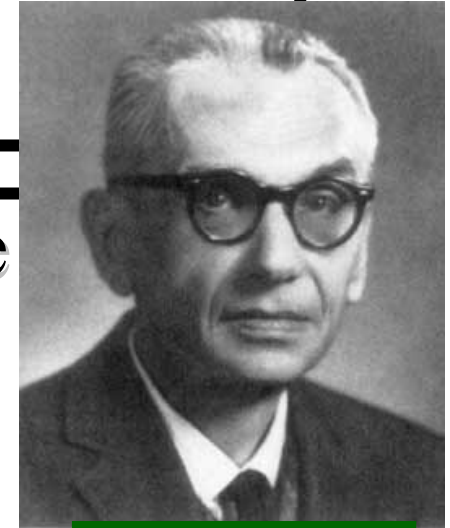
It is *the* formal notation for writing perfectly clear, concise, and unambiguous mathematical *definitions*, *axioms*, and *theorems* (more on these in chapter 3) for *any* branch of mathematics.

Predicate logic with function symbols, the “=” operator, and a few proof-building rules is sufficient for defining *any* conceivable mathematical system, and for proving anything that can be proved within that system!



## Other Applications

- Predicate logic is the foundation of the field of *mathematical logic*, which culminated in *Gödel's incompleteness theorem*, which revealed the ultimate limits of mathematical thought:
  - Given any finitely describable, consistent proof procedure, there will still be *some* true statements that can *never be proven* by that procedure.
- *I.e.*, we can't discover *all* mathematical truths, unless we sometimes resort to making *guesses*.



Kurt Gödel  
1906-1978

# Practical Applications

- Basis for clearly expressed formal specifications for any complex system.
- Basis for *automatic theorem provers* and many other Artificial Intelligence systems.
- Supported by some of the more sophisticated *database query engines* and *container class libraries* (these are types of programming tools).



# Subjects and Predicates

- In the sentence “The dog is sleeping”:
  - The phrase “**the dog**” denotes the *subject* - the *object* or *entity* that the sentence is about.
  - The phrase “**is sleeping**” denotes the *predicate* - a property that is true **of** the subject.
- In predicate logic, a *predicate* is modeled as a *function*  $P(\cdot)$  from objects to propositions.
  - $P(x) = “x \text{ is sleeping}”$  (where  $x$  is any object).



# More About Predicates

- Convention: Lowercase variables  $x, y, z...$  **denote objects/entities**; uppercase variables  $P, Q, R...$  **denote propositional functions (predicates)**.
- Keep in mind that the *result of applying* a predicate  $P$  to an object  $x$  is the *proposition*  $P(x)$ . But the predicate  $P$  **itself** (e.g.  $P$ ="is sleeping") is **not** a proposition (not a complete sentence).
  - E.g. if  $P(x)$  = "x is a prime number",  
 $P(3)$  is the *proposition* "3 is a prime number."



# Propositional Functions

- Predicate logic *generalizes* the grammatical notion of a predicate to also include propositional functions of **any** number of arguments, each of which may take **any** grammatical role that a noun can take.
  - *E.g.* let  $P(x,y,z) = \text{“}x \text{ gave } y \text{ the grade } z\text{”}$ , then if  $x = \text{“Mike”}$ ,  $y = \text{“Mary”}$ ,  $z = \text{“A”}$ , then  $P(x,y,z) = \text{“Mike gave Mary the grade A.”}$



## Universes of Discourse (U.D.s)

- The power of distinguishing objects from predicates is that it lets you state things about *many* objects at once.
- E.g., let  $P(x) = "x+1 > x"$ . We can then say, "For *any* number  $x$ ,  $P(x)$  is true" instead of  $(0+1 > 0) \wedge (1+1 > 1) \wedge (2+1 > 2) \wedge \dots$
- The collection of values that a variable  $x$  can take is called  ***$x$ 's universe of discourse.***





# Quantifier Expressions

- **Quantifiers** provide a notation that allows us to *quantify (count) how many objects* in the univ. of disc. satisfy a given predicate.
- “ $\forall$ ” is the **FORALL** or *universal* quantifier.  
 $\forall x P(x)$  means *for all*  $x$  in the u.d.,  $P$  holds.
- “ $\exists$ ” is the **EXISTS** or *existential* quantifier.  
 $\exists x P(x)$  means there exists an  $x$  in the u.d. (that is, 1 or more) such that  $P(x)$  is true.





# The Universal Quantifier $\forall$

- Example:  
Let the u.d. of  $x$  be parking spaces at UF.  
Let  $P(x)$  be the *predicate* “ $x$  is full.”  
Then the *universal quantification of  $P(x)$* ,  
 $\forall x P(x)$ , is the *proposition*:
  - “All parking spaces at UF are full.”
  - *i.e.*, “Every parking space at UF is full.”
  - *i.e.*, “For each parking space at UF, that space is full.”



# The Existential Quantifier $\exists$

- Example:  
Let the u.d. of  $x$  be parking spaces at UF.  
Let  $P(x)$  be the *predicate* “ $x$  is full.”  
Then the *existential quantification* of  $P(x)$ ,  
 $\exists x P(x)$ , is the *proposition*:
  - “Some parking space at UF is full.”
  - “There is a parking space at UF that is full.”
  - “At least one parking space at UF is full.”



# Free and Bound Variables

- An expression like  $P(x)$  is said to have a **free variable  $x$**  (meaning,  $x$  is undefined).
- A quantifier (either  $\forall$  or  $\exists$ ) *operates* on an expression having one or more free variables, and *binds* one or more of those variables, to produce an expression having one or more **bound variables**.



## Example of Binding

- $P(x,y)$  has 2 free variables,  $x$  and  $y$ .
- $\forall x P(x,y)$  has 1 free variable, and one bound variable. [Which is which?]
- “ $P(x)$ , where  $x=3$ ” is another way to bind  $x$ .
- An expression with zero free variables is a bona-fide (actual) proposition.
- An expression with one or more free variables is still only a predicate:  $\forall x P(x,y)$



# Nesting of Quantifiers

Example: Let the u.d. of  $x$  &  $y$  be people.

Let  $L(x,y)$  = “ $x$  likes  $y$ ” (a predicate w. 2 f.v.’s)

Then  $\exists y L(x,y)$  = “There is someone whom  $x$  likes.” (A predicate w. 1 free variable,  $x$ )

Then  $\forall x (\exists y L(x,y))$  =

“Everyone has someone whom they like.”

(A **Proposition** with \_\_\_ free variables.)



## Review: Predicate Logic (§1.3)

- Objects  $x, y, z, \dots$
- Predicates  $P, Q, R, \dots$  are functions mapping objects  $x$  to propositions  $P(x)$ .
- Multi-argument predicates  $P(x, y)$ .
- Quantifiers:  $[\forall x P(x)] : \equiv$  “For all  $x$ ’s,  $P(x)$ .”  
 $[\exists x P(x)] : \equiv$  “There is an  $x$  such that  $P(x)$ .”
- Universes of discourse, bound & free vars.



# Quantifier Exercise

If  $R(x,y)$  = “ $x$  relies upon  $y$ ,” express the following in unambiguous English:

$$\forall x(\exists y R(x,y)) =$$

Everyone has *someone* to rely on.

$$\exists y(\forall x R(x,y)) =$$

There’s a poor overburdened soul whom *everyone* relies upon (including himself)!

$$\exists x(\forall y R(x,y)) =$$

There’s some needy person who relies upon *everybody* (including himself).

$$\forall y(\exists x R(x,y)) =$$

Everyone has *someone* who relies upon them.

$$\forall x(\forall y R(x,y)) =$$

*Everyone* relies upon *everybody*, (including themselves)!



# Natural language is ambiguous!

- “Everybody likes somebody.”
  - For everybody, there is somebody they like,  
 $\forall x \exists y \text{ Likes}(x,y)$  [Probably more likely.]
  - or, there is somebody (a popular person) whom everyone likes?
    - $\exists y \forall x \text{ Likes}(x,y)$
- “Somebody likes everybody.”
  - Same problem: Depends on context, emphasis.





# Game Theoretic Semantics

- Thinking in terms of a competitive game can help you tell whether a proposition with nested quantifiers is true.
- The game has two players, both with the same knowledge:
  - Verifier: Wants to demonstrate that the proposition is true.
  - Falsifier: Wants to demonstrate that the proposition is false.
- The Rules of the Game “Verify or Falsify”:
  - Read the quantifiers from left to right, picking values of variables.
  - When you see “ $\forall$ ”, the falsifier gets to select the value.
  - When you see “ $\exists$ ”, the verifier gets to select the value.
- If the verifier can always win, then the proposition is true.
- If the falsifier can always win, then it is false.

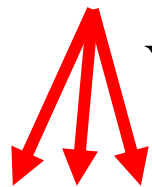


# Let's Play, "Verify or Falsify!"

Let  $B(x,y) :≡$  "x's birthday is followed within 7 days  
by y's birthday."

Suppose I claim that among you:

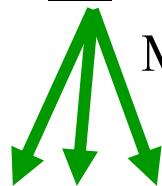
$$\underline{\forall x} \exists y B(x,y)$$



Your turn, as falsifier:

You pick any  $x \rightarrow$  (*so-and-so*)

$$\underline{\exists y} B(\text{so-and-so}, y)$$



My turn, as verifier:

I pick any  $y \rightarrow$  (*such-and-such*)

$$B(\text{so-and-so}, \text{such-and-such})$$

- Let's play it in class.
- Who wins this game?
- What if I switched the quantifiers, and I claimed that

$$\exists y \forall x B(x,y)?$$

Who wins in that case?



## Still More Conventions

- Sometimes the universe of discourse is restricted within the quantification, *e.g.*,
  - $\forall x > 0 P(x)$  is shorthand for  
“For all  $x$  that are greater than zero,  $P(x)$ .”  
 $= \forall x ( \quad )$
  - $\exists x > 0 P(x)$  is shorthand for  
“There is an  $x$  greater than zero such that  $P(x)$ .”  
 $= \exists x ( \quad )$



## More to Know About Binding

- $\forall x \exists x P(x)$  -  $x$  is not a free variable in  $\exists x P(x)$ , therefore the  $\forall x$  binding isn't used.
- $(\forall x P(x)) \wedge Q(x)$  - The variable  $x$  is outside of the *scope* of the  $\forall x$  quantifier, and is therefore free. Not a proposition!
- $(\forall x P(x)) \wedge (\exists x Q(x))$  - This is legal, because there are 2 different  $x$ 's!



# Quantifier Equivalence Laws

- Definitions of quantifiers: If u.d.=a,b,c,...  
 $\forall x P(x) \Leftrightarrow P(a) \wedge P(b) \wedge P(c) \wedge \dots$   
 $\exists x P(x) \Leftrightarrow P(a) \vee P(b) \vee P(c) \vee \dots$
- From those, we can prove the laws:  
 $\forall x P(x) \Leftrightarrow$   
 $\exists x P(x) \Leftrightarrow$
- Which *propositional* equivalence laws can be used to prove this?



## More Equivalence Laws

- $\forall x \forall y P(x,y) \Leftrightarrow \forall y \forall x P(x,y)$   
 $\exists x \exists y P(x,y) \Leftrightarrow \exists y \exists x P(x,y)$
- $\forall x (P(x) \wedge Q(x)) \Leftrightarrow (\forall x P(x)) \wedge (\forall x Q(x))$   
 $\exists x (P(x) \vee Q(x)) \Leftrightarrow (\exists x P(x)) \vee (\exists x Q(x))$
- Exercise:  
See if you can prove these yourself.  
– What propositional equivalences did you use?



## Review: Predicate Logic (§1.3)

- Objects  $x, y, z, \dots$
- Predicates  $P, Q, R, \dots$  are functions mapping objects  $x$  to propositions  $P(x)$ .
- Multi-argument predicates  $P(x, y)$ .
- Quantifiers:  $(\forall x P(x))$  = “For all  $x$ ’s,  $P(x)$ .”  
 $(\exists x P(x))$  = “There is an  $x$  such that  $P(x)$ .”



## More Notational Conventions

- Quantifiers bind as loosely as needed:  
parenthesize  $\forall x (P(x) \wedge Q(x))$
- Consecutive quantifiers of the same type can be combined:  $\forall x \forall y \forall z P(x,y,z) \Leftrightarrow \forall x,y,z P(x,y,z)$  or even  $\forall xyz P(x,y,z)$
- All quantified expressions can be reduced to the canonical *alternating* form  
 $\forall x_1 \exists x_2 \forall x_3 \exists x_4 \dots P(x_1, x_2, x_3, x_4, \dots)$





# Defining New Quantifiers

As per their name, quantifiers can be used to express that a predicate is true of any given *quantity* (number) of objects.

Define  $\exists!x P(x)$  to mean “ $P(x)$  is true of *exactly one*  $x$  in the universe of discourse.”

$$\exists!x P(x) \Leftrightarrow \exists x (P(x) \wedge \neg \exists y (P(y) \wedge y \neq x))$$

“There is an  $x$  such that  $P(x)$ , where there is no  $y$  such that  $P(y)$  and  $y$  is other than  $x$ .”



# Some Number Theory Examples

- Let u.d. = the *natural numbers* 0, 1, 2, ...
- “A number  $x$  is *even*,  $E(x)$ , if and only if it is equal to 2 times some other number.”

$$\forall x (E(x) \leftrightarrow (\exists y \ x=2y))$$

- “A number is *prime*,  $P(x)$ , iff it's greater than 1 and it isn't the product of two non-unity numbers.”

$$\forall x (P(x) \leftrightarrow (x>1 \wedge \neg \exists yz \ x=yz \wedge y \neq 1 \wedge z \neq 1))$$



## Goldbach's Conjecture (unproven)

Using  $E(x)$  and  $P(x)$  from previous slide,

$$\forall E(x>2): \exists P(p), P(q): p+q = x$$

or, with more explicit notation:

$$\forall x [x>2 \wedge E(x)] \rightarrow$$

$$\exists p \exists q P(p) \wedge P(q) \wedge p+q = x.$$

“Every even number greater than 2  
is the sum of two primes.”



# Calculus Example

- One way of precisely defining the calculus concept of a *limit*, using quantifiers:

$$\left( \lim_{x \rightarrow a} f(x) = L \right) \Leftrightarrow$$

$$\left( \forall \varepsilon > 0 : \exists \delta > 0 : \forall x : \left( |x - a| < \delta \right) \rightarrow \left( |f(x) - L| < \varepsilon \right) \right)$$



# Deduction Example

- Definitions:

$s$  :  $\equiv$  Socrates (ancient Greek philosopher);

$H(x)$  :  $\equiv$  “ $x$  is human”;

$M(x)$  :  $\equiv$  “ $x$  is mortal”.

- Premises:

$H(s)$                       *Socrates is human.*

$\forall x H(x) \rightarrow M(x)$       *All humans are mortal.*



# Deduction Example Continued

## Some valid conclusions you can draw:

$H(s) \rightarrow M(s)$     **[Instantiate universal.]** *If Socrates is human then he is mortal.*

$\neg H(s) \vee M(s)$     *Socrates is inhuman or mortal.*

$H(s) \wedge (\neg H(s) \vee M(s))$   
*Socrates is human, and also either inhuman or mortal.*

$(H(s) \wedge \neg H(s)) \vee (H(s) \wedge M(s))$     **[Apply distributive law.]**

$\mathbf{F} \vee (H(s) \wedge M(s))$     **[Trivial contradiction.]**

$H(s) \wedge M(s)$     **[Use identity law.]**

$M(s)$     *Socrates is mortal.*



## Another Example

- Definitions:  $H(x) : \equiv$  “ $x$  is human”;  
 $M(x) : \equiv$  “ $x$  is mortal”;  $G(x) : \equiv$  “ $x$  is a god”
- Premises:
  - $\forall x H(x) \rightarrow M(x)$  (“Humans are mortal”) and
  - $\forall x G(x) \rightarrow \neg M(x)$  (“Gods are immortal”).
- Show that  $\neg \exists x (H(x) \wedge G(x))$   
 (“No human is a god.”)



# The Derivation

- $\forall x H(x) \rightarrow M(x)$  and  $\forall x G(x) \rightarrow \neg M(x)$ .
- $\forall x \neg M(x) \rightarrow$                     **[Contrapositive.]**
- $\forall x [G(x) \rightarrow \neg M(x)] \wedge [\neg M(x) \rightarrow \neg H(x)]$
- $\forall x G(x) \rightarrow$                     **[Transitivity of  $\rightarrow$ .]**
- $\forall x$                                     **[Definition of  $\rightarrow$ .]**
- $\forall x$                                     **[DeMorgan's law.]**
- $\neg \exists x G(x) \wedge H(x)$             **[An equivalence law.]**





# End of §1.3-1.4, Predicate Logic

- From these sections you should have learned:
  - Predicate logic notation & conventions
  - Conversions: predicate logic  $\leftrightarrow$  clear English
  - Meaning of quantifiers, equivalences
  - Simple reasoning with quantifiers
- Upcoming topics:
  - Introduction to proof-writing.
  - Then: Set theory –
    - a language for talking about collections of objects.



**§1.5-1.7 :**  
**Basic Proof Methods**



# Nature & Importance of Proofs

- In mathematics, a *proof* is:
  - a *correct* (well-reasoned, logically valid) and *complete* (clear, detailed) argument that rigorously & undeniably establishes the truth of a mathematical statement.
- Why must the argument be correct & complete?
  - *Correctness* prevents us from fooling ourselves.
  - *Completeness* allows anyone to verify the result.
- In this course (& throughout mathematics), a very high standard for correctness and completeness of proofs is demanded!!



## Overview of §1.5 -1.7

- Methods of mathematical argument (*i.e.*, proof methods) can be formalized in terms of ***rules of logical inference***.
- Mathematical *proofs* can themselves be represented formally as discrete structures.
- We will review both **correct** & **fallacious** inference rules, & several proof methods.



# Applications of Proofs

- An exercise in clear communication of logical arguments in any area of study.
- The fundamental activity of mathematics is the discovery and elucidation, through proofs, of interesting new theorems.
- Theorem-proving has applications in program verification, computer security, automated reasoning systems, *etc.*
- Proving a theorem allows us to rely upon on its correctness even in the most critical scenarios.



# Proof Terminology

- ***Theorem***
  - A statement that has been proven to be true.
- ***Axioms, postulates, hypotheses, premises***
  - Assumptions (often unproven) defining the structures about which we are reasoning.
- ***Rules of inference***
  - Patterns of logically valid deductions from hypotheses to conclusions.

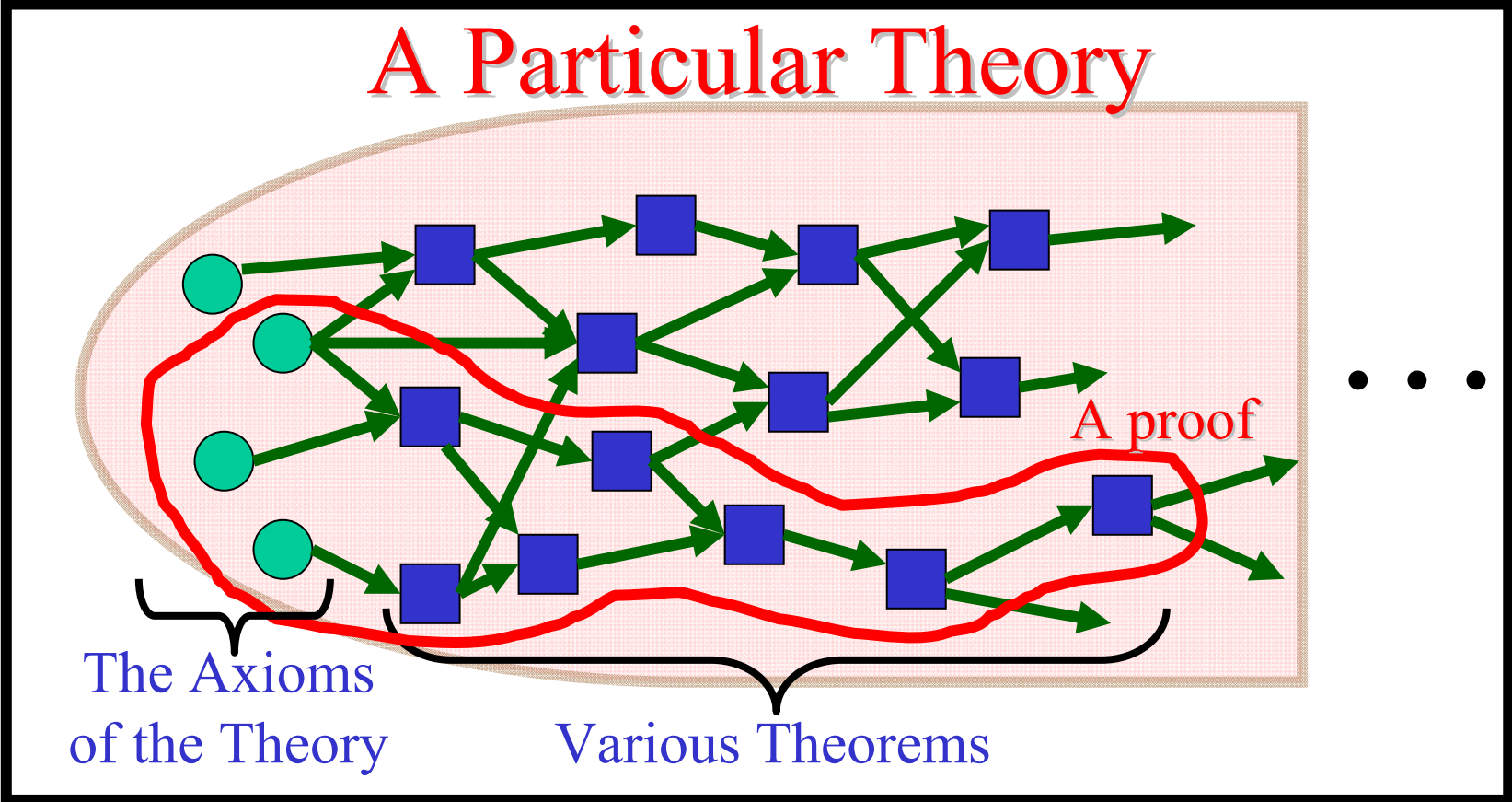


# More Proof Terminology

- ***Lemma*** - A minor theorem used as a stepping-stone to proving a major theorem.
- ***Corollary*** - A minor theorem proved as an easy consequence of a major theorem.
- ***Conjecture*** - A statement whose truth value has not been proven. (A conjecture may be widely believed to be true, regardless.)
- ***Theory*** – The set of all theorems that can be proven from a given set of axioms.



# Graphical Visualization





# Inference Rules - General Form

- ***Inference Rule*** –

- Pattern establishing that if we know that a set of *antecedent* statements of certain forms are **all true**, then a certain related *consequent* statement is **true**.

- |  |
|--|
| $\frac{\begin{array}{l} \textit{antecedent 1} \\ \textit{antecedent 2} \dots \end{array}}{\therefore \textit{consequent}}$ |
|--|

“ $\therefore$ ” means “therefore”



# Inference Rules & Implications

- Each logical inference rule corresponds to an implication that is a **tautology**.

- |   |                |
|---|----------------|
| $\frac{\textit{antecedent 1} \\ \textit{antecedent 2} \dots}{\therefore \textit{consequent}}$ | Inference rule |
|---|----------------|

- Corresponding tautology:

$$((\textit{ante. 1}) \wedge (\textit{ante. 2}) \wedge \dots) \rightarrow \textit{consequent}$$



# Some Inference Rules

- $$\frac{p}{\therefore p \vee q}$$

Rule of Addition

- $$\frac{p \wedge q}{\therefore p}$$

Rule of Simplification

- $$\frac{p}{q} \\ \therefore p \wedge q$$

Rule of Conjunction



# Modus Ponens & Tollens

- $$\frac{p}{p \rightarrow q} \therefore q$$

Rule of *modus ponens*  
(a.k.a. *law of detachment*)

“the mode of affirming”

- $$\frac{\neg q}{p \rightarrow q} \therefore \neg p$$

Rule of *modus tollens*

“the mode of denying”



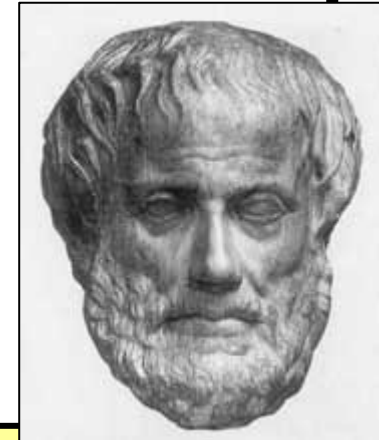
# Syllogism Inference Rules

- $$\frac{p \rightarrow q}{q \rightarrow r} \therefore p \rightarrow r$$

Rule of hypothetical syllogism

- $$\frac{p \vee q}{\neg p} \therefore q$$

Rule of disjunctive syllogism



Aristotle  
(ca. 384-322 B.C.)



# Formal Proofs

- A formal proof of a conclusion  $C$ , given premises  $p_1, p_2, \dots, p_n$  consists of a sequence of *steps*, each of which applies some inference rule to premises or to previously-proven statements (as antecedents) to yield a new true statement (the consequent).
- A proof demonstrates that *if* the premises are true, *then* the conclusion is true.



# Formal Proof Example

- Suppose we have the following premises:
  - “It is not sunny and it is cold.”
  - “We will swim( $p$ ) only if it is sunny( $q$ ).” ( $p \rightarrow q$ )
  - “If we do not swim, then we will canoe.”
  - “If we canoe, then we will be home early.”
- Given these premises, prove the theorem  
“We will be home early” using inference rules.



## Proof Example *cont.*

- Let us adopt the following abbreviations:
  - *sunny* = “**It is sunny**”; *cold* = “**It is cold**”;
  - swim* = “**We will swim**”; *canoe* = “**We will canoe**”;
  - early* = “**We will be home early**”.
- Then, the premises can be written as:
  - (1)  $\neg \textit{sunny} \wedge \textit{cold}$  (2)  $\textit{swim} \rightarrow \textit{sunny}$
  - (3)  $\neg \textit{swim} \rightarrow \textit{canoe}$  (4)  $\textit{canoe} \rightarrow \textit{early}$





## Proof Example *cont.*

### Step

1.  $\neg \textit{sunny} \wedge \textit{cold}$

2.  $\neg \textit{sunny}$

3.  $\textit{swim} \rightarrow \textit{sunny}$

4.

5.  $\neg \textit{swim} \rightarrow \textit{canoe}$

6.

7.  $\textit{canoe} \rightarrow \textit{early}$

8.

### Proved by

Premise #1.

Simplification of 1.

Premise #2.

Modus tollens on 2,3.

Premise #3.

Modus ponens on 4,5.

Premise #4.

Modus ponens on 6,7.



# Inference Rules for Quantifiers

- $\frac{\forall x P(x)}{\therefore P(o)}$  **Universal instantiation**  
(substitute *any* object  $o$ )
- $\frac{P(g)}{\therefore \forall x P(x)}$  (for  $g$  a *general* element of u.d.) **Universal generalization**
- $\frac{\exists x P(x)}{\therefore P(c)}$  **Existential instantiation**  
(substitute a *new constant*  $c$ )
- $\frac{P(o)}{\therefore \exists x P(x)}$  (substitute any extant object  $o$ ) **Existential generalization**



# Common Fallacies

- A *fallacy* is an inference rule or other proof method that is not logically valid.
  - May yield a false conclusion!
- Fallacy of *affirming the conclusion*:
  - “ $p \rightarrow q$  is true, and  $q$  is true, so  $p$  must be true.”  
(No, because  $\mathbf{F} \rightarrow \mathbf{T}$  is true.)
- Fallacy of *denying the hypothesis*:
  - “ $p \rightarrow q$  is true, and  $p$  is false, so  $q$  must be false.”  
(No, again because  $\mathbf{F} \rightarrow \mathbf{T}$  is true.)



# Circular Reasoning

- The fallacy of (explicitly or implicitly) assuming the very statement you are trying to prove in the course of its proof. Example:
- Prove that an integer  $n$  is even, if  $n^2$  is even.
- Attempted proof: “Assume  $n^2$  is even. Then  $n^2=2k$  for some integer  $k$ . Dividing both sides by  $n$  gives  $n = (2k)/n = 2(k/n)$ . So there is an integer  $j$  (namely  $k/n$ ) such that  $n=2j$ . Therefore  $n$  is even.”

*Begs the question: How do you show that  $j=k/n=n/2$  is an integer, without first assuming  $n$  is even?*

## Removing the Circularity

Suppose  $n^2$  is even  $\therefore 2|n^2 \therefore n^2 \bmod 2 = 0$ . Of course  $n \bmod 2$  is either 0 or 1. If it's 1, then  $n \equiv 1 \pmod{2}$ , so  $n^2 \equiv 1 \pmod{2}$ , **using the theorem that if  $a \equiv b \pmod{m}$  and  $c \equiv d \pmod{m}$  then  $ac \equiv bd \pmod{m}$ , with  $a=c=n$  and  $b=d=1$ .** Now  $n^2 \equiv 1 \pmod{2}$  implies that  $n^2 \bmod 2 = 1$ . So **by the hypothetical syllogism rule**,  $(n \bmod 2 = 1)$  implies  $(n^2 \bmod 2 = 1)$ . Since we know  $n^2 \bmod 2 = 0 \neq 1$ , **by *modus tollens*** we know that  $n \bmod 2 \neq 1$ . So **by disjunctive syllogism** we have that  $n \bmod 2 = 0 \therefore 2|n \therefore n$  is even.



# Proof Methods for Implications

For proving implications  $p \rightarrow q$ , we have:

- **Direct** proof: Assume  $p$  is true, and prove  $q$ .
- **Indirect** proof: Assume  $\neg q$ , and prove  $\neg p$ .
- **Vacuous** proof: Prove  $\neg p$  by itself.
- **Trivial** proof: Prove  $q$  by itself.
- **Proof by cases:**  
Show  $p \rightarrow (a \vee b)$ , and  $(a \rightarrow q)$  and  $(b \rightarrow q)$ .



# Direct Proof Example

- **Definition:** An integer  $n$  is called *odd* iff  $n=2k+1$  for some integer  $k$ ;  $n$  is *even* iff  $n=2k$  for some  $k$ .
- **Axiom:** Every integer is either odd or even.
- **Theorem:** (For all numbers  $n$ ) If  $n$  is an odd integer, then  $n^2$  is an odd integer.
- **Proof:**



# Indirect Proof Example

- **Theorem:** (For all integers  $n$ )  
If  $3n+2$  is odd, then  $n$  is odd.
- **Proof:**





# Vacuous Proof Example

- **Theorem:** (For all  $n$ ) If  $n$  is both odd and even, then  $n^2 = n + n$ .
- **Proof:** The statement “ $n$  is both odd and even” is necessarily false, since no number can be both odd and even. So, the theorem is vacuously true.  $\square$



# Trivial Proof Example

- **Theorem:** (For integers  $n$ ) If  $n$  is the sum of two prime numbers, then either  $n$  is odd or  $n$  is even.
- **Proof:** Any integer  $n$  is either odd or even. So the conclusion of the implication is true regardless of the truth of the antecedent. Thus the implication is true trivially.  $\square$



# Proof by Contradiction

- A method for proving  $p$ .
- Assume  $\neg p$ , and prove both  $q$  and  $\neg q$  for some proposition  $q$ .
- Thus  $\neg p \rightarrow (q \wedge \neg q)$
- $(q \wedge \neg q)$  is a trivial contradiction, equal to **F**
- Thus  $\neg p \rightarrow \mathbf{F}$ , which is only true if  $\neg p = \mathbf{F}$
- Thus  $p$  is true.



## Review: Proof Methods So Far

- *Direct, indirect, vacuous*, and *trivial* proofs of statements of the form  $p \rightarrow q$ .
- *Proof by contradiction* of any statements.
- Next: *Constructive* and *nonconstructive existence proofs*.



# Proving Existentials

- A proof of a statement of the form  $\exists x P(x)$  is called an *existence proof*.
- If the proof demonstrates how to actually **find or construct** a specific element  $a$  such that  $P(a)$  is true, then it is a *constructive* proof.
- Otherwise, it is *nonconstructive*.



# Constructive Existence Proof

- **Theorem:** There exists a positive integer  $n$  that is the sum of two perfect cubes in two different ways:
  - equal to  $j^3 + k^3$  and  $l^3 + m^3$  where  $j, k, l, m$  are positive integers, and  $\{j, k\} \neq \{l, m\}$
- **Proof:**



## Another Constructive Existence Proof

- **Theorem:** For any integer  $n > 0$ , there exists a sequence of  $n$  consecutive composite integers.
- Same statement in predicate logic:  
$$\forall n > 0 \exists x \forall i (1 \leq i \leq n) \rightarrow (x+i \text{ is composite})$$
- Proof follows on next slide...



## The proof...

- Given  $n > 0$ , let  $x = (n + 1)! + 1$ .
- Let  $i \geq 1$  and  $i \leq n$ , and consider  $x + i$ .
- Note  $x + i =$
- Note  $(i + 1) \mid (i + 1)!$ , since  $2 \leq i + 1 \leq n + 1$ .
- Also  $(i + 1) \mid (i + 1)$ . So,
- $\therefore x + i$  is composite.
- $\therefore \forall n \exists x \forall 1 \leq i \leq n : x + i$  is composite. Q.E.D.





# Nonconstructive Existence Proof

- **Theorem:**

“There are infinitely many prime numbers.”

- Any finite set of numbers must contain a maximal element, so we can prove the theorem if we can **just show that there is *no* largest prime number.**
- *I.e.*, show that for any prime number, there is a larger number that is *also* prime.
- More generally: **For *any* number,  $\exists$  a larger prime.**
- Formally: Show  $\forall n \exists p > n : p$  is prime.



## The proof, using *proof by cases*...

- Given  $n > 0$ , prove there is a prime  $p > n$ .
- Consider  $x = n! + 1$ . Since  $x > 1$ , we know  $(x \text{ is prime}) \vee (x \text{ is composite})$ .
- **Case 1:**  $x$  is prime.
- **Case 2:**  $x$  has a prime factor  $p$ .



# Limits on Proofs

- Some very simple statements of number theory haven't been proved or disproved!
  - *E.g. Goldbach's conjecture*: Every integer  $n \geq 2$  is exactly the average of some two primes.
  - $\forall n \geq 2 \exists$  primes  $p, q: n = (p+q)/2$ .
- There are true statements of number theory (or any sufficiently powerful system) that can *never* be proved (or disproved) (Gödel).



# More Proof Examples

- Quiz question 1a: Is this argument correct or incorrect?
  - “All TAs compose easy quizzes. Ramesh is a TA. Therefore, Ramesh composes easy quizzes.”
- First, separate the premises from conclusions:
  - Premise #1: All TAs compose easy quizzes.
  - Premise #2: Ramesh is a TA.
  - Conclusion: Ramesh composes easy quizzes.



# Answer

Next, re-render the example in logic notation.

- Premise #1: All TAs compose easy quizzes.
  - Let U.D. = all people
  - Let  $T(x) : \equiv$  “ $x$  is a TA”
  - Let  $E(x) : \equiv$  “ $x$  composes easy quizzes”
  - Then Premise #1 says:  $\forall x, T(x) \rightarrow E(x)$



## Answer cont...

- Premise #2: Ramesh is a TA.
  - Let  $R \equiv \text{Ramesh}$
  - Then Premise #2 says:  $T(R)$
  - And the Conclusion says:  $E(R)$
- The argument is **correct**, because it can be reduced to a sequence of applications of valid inference rules, as follows:



# The Proof in Gory Detail

<u>Statement</u>	<u>How obtained</u>
1. $\forall x, T(x) \rightarrow E(x)$	<b>(Premise #1)</b>
2. $T(\text{Ramesh}) \rightarrow E(\text{Ramesh})$	<b>(Universal instantiation)</b>
3. $T(\text{Ramesh})$	<b>(Premise #2)</b>
4. $E(\text{Ramesh})$	<b>(<i>Modus Ponens</i> from statements #2 and #3)</b>



## Another example

- Quiz question 2b: Correct or incorrect: *At least one of the 280 students in the class is intelligent.  $Y$  is a student of this class. Therefore,  $Y$  is intelligent.*
- First: Separate premises/conclusion, & translate to logic:
  - Premises: (1)  $\exists x \text{ InClass}(x) \wedge \text{Intelligent}(x)$   
(2)  $\text{InClass}(Y)$
  - Conclusion:  $\text{Intelligent}(Y)$





# Answer

- No, the argument is invalid; we can disprove it with a counter-example, as follows:
- Consider a case where there is only one intelligent student  $X$  in the class, and  $X \neq Y$ .
  - Then the premise  $\exists x \text{ InClass}(x) \wedge \text{Intelligent}(x)$  is true, by existential generalization of  $\text{InClass}(X) \wedge \text{Intelligent}(X)$
  - But the conclusion  $\text{Intelligent}(Y)$  is false, since  $X$  is the only intelligent student in the class, and  $Y \neq X$ .
- Therefore, the premises *do not* imply the conclusion.



# Another Example

- Quiz question #2: Prove that the sum of a rational number and an irrational number is always irrational.
- First, you have to understand exactly what the question is asking you to prove:
  - “For all real numbers  $x, y$ , if  $x$  is rational and  $y$  is irrational, then  $x+y$  is irrational.”
  - $\forall x, y: \text{Rational}(x) \wedge \text{Irrational}(y) \rightarrow \text{Irrational}(x+y)$



# Answer

- Next, think back to the definitions of the terms used in the statement of the theorem:
  - $\forall$  reals  $r$ :  $\text{Rational}(r) \leftrightarrow$   
 $\exists \text{ Integer}(i) \wedge \text{Integer}(j): r = i / j.$
  - $\forall$  reals  $r$ :  $\text{Irrational}(r) \leftrightarrow \neg \text{Rational}(r)$
- You almost always need the definitions of the terms in order to prove the theorem!
- Next, let's go through one valid proof:



# What you might write

- **Theorem:**

$\forall x, y: \text{Rational}(x) \wedge \text{Irrational}(y) \rightarrow \text{Irrational}(x + y)$

- **Proof:** Let  $x, y$  be any rational and irrational numbers, respectively. ... (universal generalization)
- Now, just from this, what do we know about  $x$  and  $y$ ? You should think back to the definition of rational:
- ... Since  $x$  is rational, we know (from the very definition of rational) that there must be some integers  $i$  and  $j$  such that  $x = i/j$ . So, let  $i_x, j_x$  be such integers ...
- We give them unique names so we can refer to them later.



## What next?

- What do we know about  $y$ ? Only that  $y$  is irrational:  $\neg \exists$  integers  $i, j : y = i / j$ .
- But, **it's difficult to see how to use a direct proof** in this case. We could try indirect proof also, but in this case, it is a little simpler to **just use proof by contradiction** (very similar to indirect).
- So, what are we trying to show? Just that  $x+y$  is irrational. That is,  $\neg \exists i, j : (x + y) = i / j$ .
- What happens if we hypothesize the negation of this statement?



## More writing...

- **Suppose that  $x + y$  were not irrational.** Then  $x + y$  would be rational, so  $\exists$  integers  $i, j : x + y = i / j$ . So, let  $i_s$  and  $j_s$  be any such integers where  $x + y = i_s / j_s$ .
- Now, with all these things named, we can start seeing what happens when we put them together.
- So, we have that  $(i_x / j_x) + y = (i_s / j_s)$ .
- Observe! We have enough information now that we can conclude something useful about  $y$ , by solving this equation for it.



## Finishing the proof.

- Solving that equation for  $y$ , we have:

$$y =$$
$$=$$

Now, since the numerator and denominator of this expression are both integers,  $y$  is (by definition) rational. This contradicts the assumption that  $y$  was irrational.

Therefore, our hypothesis that  $x + y$  is rational must be false, and so the theorem is proved.



## Example wrong answer

- 1 is rational.  $\sqrt{2}$  is irrational.  $1 + \sqrt{2}$  is irrational. Therefore, the sum of a rational number and an irrational number is irrational. (Direct proof.)
- Why does this answer merit no credit?
  - The student attempted to use an example to prove a universal statement. **This is always wrong!**
  - Even as an example, it's incomplete, because the student never even proved that  $1 + \sqrt{2}$  is irrational!





# Proofs of Equivalence

- How to prove “ $p \leftrightarrow q$ ”, i.e., “ $p$  if and only if  $q$ ”?
  - You must prove “ $p \rightarrow q$ ” and “ $q \rightarrow p$ ”
- How to prove that  $p_1, p_2, p_3, \dots, p_n$  are equivalent, i.e.,  $p_1 \leftrightarrow p_2 \leftrightarrow p_3 \leftrightarrow \dots \leftrightarrow p_n$ ?
  - You only need to prove “ $p_1 \rightarrow p_2$ ”  $\wedge$  “ $p_2 \rightarrow p_3$ ”  $\wedge$  “ $p_3 \rightarrow p_4$ ”  $\wedge \dots \wedge$  “ $p_{n-1} \rightarrow p_n$ ”  $\wedge$  “ $p_n \rightarrow p_1$ ”!



# Uniqueness Proofs

- **Existence:** show that an element  $x$  with the desired property exists.
- **Uniqueness:** show that if  $y \neq x$ , then  $y$  does not have the desired property, or if  $x, y$  both have the desired property, then  $y = x$ .

